

On Complemented Non-Abelian Chief Factors of a Lie Algebra

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Abstract. The number of Frattini chief factors or of chief factors which are complemented by a maximal subalgebra of a finite-dimensional Lie algebra L is the same in every chief series for L , by [4, Theorem 2.3]. However, this is not the case for the number of chief factors which are simply complemented in L . In this paper we determine the possible variation in that number. The same question for groups has been considered by Seral and Lafuente in [2].

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1. Preliminary Results

Throughout L will be a finite-dimensional Lie algebra with product $[\cdot, \cdot]$ over a field. We say that A is an L -algebra if it is a Lie algebra (with product denoted by juxtaposition) and there is a homomorphism $\theta : L \rightarrow \text{Der } A$. Then A is also an L -module with action \cdot given by $x.a = \theta(x)(a)$ and we have $x.(a_1 a_2) = (x.a_1)a_2 - (x.a_2)a_1$. If A is an ideal of L we will consider it as an L -algebra in the natural way. Given such an L -algebra A , we define the corresponding semi-direct sum $A \rtimes L$ as the set of ordered pairs, where the multiplication is given by

$$(a_1, x_1)(a_2, x_2) = (x_1.a_2 - x_2.a_1 + a_1 a_2, [x_1, x_2])$$

for all $a_1, a_2 \in A$ and for all $x_1, x_2 \in L$.

Let A and B two L -algebras. An (algebra) isomorphism $\theta : A \rightarrow B$ is said to be an L -isomorphism if it is also an L -module isomorphism. Note that this is stronger than the definition used in [4], where θ is only required to be an L -module isomorphism. However, the results proved there apply equally to this stronger version. When such a θ exists we write $A \cong_L B$. We say that A, B are L -equivalent, written $A \sim_L B$ if there is an isomorphism $\Phi : A \rtimes L \rightarrow B \rtimes L$ such that the following diagram commutes:

$$\begin{array}{ccccccc} 0 & \hookrightarrow & A & \hookrightarrow & A \rtimes L & \twoheadrightarrow & L \twoheadrightarrow 0 \\ & & \downarrow \phi & & \downarrow \Phi & & \parallel \\ 0 & \hookrightarrow & B & \hookrightarrow & B \rtimes L & \twoheadrightarrow & L \twoheadrightarrow 0 \end{array}$$

In this case we say that the extensions $A \hookrightarrow A \rtimes L \twoheadrightarrow L$ and $B \hookrightarrow B \rtimes L \twoheadrightarrow L$ are *equivalent*. It is clear that L -equivalence is an equivalence relation.

If $\phi : A \rightarrow B$ is an L -isomorphism, then putting $\Phi((a, x)) = (\phi(a), x)$ defines an isomorphism $\Phi : A \rtimes L \rightarrow B \rtimes L$ making the above diagram commutative. It follows that L -isomorphic L -algebras are L -equivalent. However, the converse is false. For example, if $L = A \oplus B$, where A and B are isomorphic simple Lie algebras, then A and B are L -equivalent, but they are not L -isomorphic, as $C_L(A) = B$ and $C_L(B) = A$.

If B is an L -algebra we define a 1-*cocycle* of L with values in B to be a map $\beta \in Z^1(L, B)$ such that

$$\beta([x, y]) = x.\beta(y) - y.\beta(x) + \beta(x)\beta(y).$$

Then the map $\theta : L \rightarrow \text{Der } B$ given by $\theta(x) = \theta_x$ where $\theta_x(b) = \beta(x)b + x.b$ for all $x \in L$ and $b \in B$ is a homomorphism, and so we can define another L -module structure on B by

$$x \odot b = \beta(x)b + x.b.$$

We denote the L -algebra with this L -module structure by B_β .

The following proposition gives us a useful criterion for two L -algebras to be equivalent.

Proposition 1.1. *Let A and B be two L -algebras. They are L -equivalent if and only if there is a 1-cocycle $\beta \in Z^1(L, B)$ and an L -isomorphism ϕ from A to B_β (that is, $\phi(x.a) = x \odot \phi(a)$ for all $x \in L$, $a \in A$).*

Proof. Suppose first that there is a 1-cocycle $\beta \in Z^1(L, B)$ and an L -isomorphism $\phi : A \rightarrow B_\beta$. Then, the map $\Phi : A \rtimes L \rightarrow B \rtimes L$ given by

$$\Phi((a, x)) = (\phi(a) + \beta(x), x)$$

shows that A and B are L -equivalent.

Conversely, suppose that they are L -equivalent under the isomorphism $\Phi : A \rtimes L \rightarrow B \rtimes L$. Define $\beta : L \rightarrow B$ by $\beta(x) = \pi_1(\Phi((0, x)) - (0, x))$ where $\pi_1 : B \rtimes L \rightarrow B : (b, x) \mapsto b$ is the projection map onto B . Then it is straightforward to check that $\beta \in Z^1(L, B)$ and that ϕ is an L -isomorphism from A to B_β . ■

If A and B are abelian and L -equivalent, they have the same dimension, and so are L -isomorphic. However, as we have seen, for nonabelian L -algebras, L -equivalence is strictly weaker than L -isomorphism.

Recall that the factor algebra A/B is called a *chief factor* of L if B is an ideal of L and A/B is a minimal ideal of L/B . The *Frattini ideal* of L , denoted by $\phi(L)$, is the largest ideal of L contained in the intersection of all of the maximal subalgebras of L . A chief factor A/B is called *Frattini* if $A/B \subseteq \phi(L/B)$. This concept was introduced in [3].

If there is a subalgebra, M such that $L = A + M$ and $B \subseteq A \cap M$, we say that A/B is a *supplemented* chief factor of L , and that M is a *supplement*

of A/B in L . Also, if A/B is a non-Frattini chief factor of L , then A/B is supplemented by a maximal subalgebra M of L .

If A/B is a chief factor of L supplemented by a subalgebra M of L , and $A \cap M = B$ then we say that A/B is complemented chief factor of L , and M is a complement of A/B in L . When L is solvable, it is easy to see that a chief factor is Frattini if and only if it is not complemented.

The centralizer of an L -algebra A in L is $C_L(A) = \{x \in L \mid x.a = 0 \text{ for all } a \in A\}$. We will denote an algebra direct sum by ‘ \oplus ’, whereas ‘ $\dot{+}$ ’ will denote a direct sum of the underlying vector space only. Then the following proposition gives a criterion for a nonabelian chief factor to be complemented.

Proposition 1.2. *Let A_1/B_1 be a nonabelian chief factor of L . Then, A_1/B_1 is complemented in L if and only if there exists an L -algebra B such that $A_1/B_1 \sim_L B$, and $A_1 \subseteq C_L(B)$.*

Proof. (\implies) Suppose that A_1/B_1 is complemented in L and M is a complement of A_1/B_1 in L . Since $L = M + A_1$, for each $x \in L$ we can write $x = m_x + a_x$ for some $m_x \in M$ and $a_x \in A_1$. We consider the L -algebra B whose underlying algebra is A_1/B_1 with the module operation:

$$\begin{aligned} \wedge : L \times B &\rightarrow B \\ (x, b) &\rightarrow [m_x, a_b] + B_1 \end{aligned}$$

where $b = a_b + B_1$ ($a_b \in A_1$). Define the 1-cocycle $\beta \in Z^1(L, B)$ as;

$$\begin{aligned} \beta : L &\rightarrow B \\ x &\rightarrow \beta(x) = a_x + B_1 \quad (a_x \in A_1) \end{aligned}$$

It is immediate that both are well defined mappings and that β is a 1-cocycle. Let $\phi : A_1/B_1 \rightarrow B$ be given by, $\phi(a_1 + B_1) = a_1 + B_1$ for all $a_1 + B_1 \in A_1/B_1$. Then we can define another module structure on B using β and, for all $x \in L$ and for all $a_b + B_1 \in A_1/B_1$, we have

$$\begin{aligned} \phi([x, a_b + B_1]) &= \phi([x, a_b] + B_1) \\ &= [x, a_b] + B_1 \\ &= [a_x + B_1, a_b + B_1] + [m_x, a_b + B_1] \\ &= [\beta(x), a_b + B_1] + x \wedge (a_b + B_1) \\ &= x \odot (a_b + B_1). \end{aligned}$$

Hence ϕ is an L -isomorphism and $A_1/B_1 \cong_L B_\beta$. Then, using Proposition 1.1, we have that $A_1/B_1 \sim_L B$. Also

$$\begin{aligned} C_L(B) &= \{x \in L \mid x \wedge B = 0_B\} \\ &= \{m_x + a_x \in L \mid [m_x, a_b] + B_1 = B_1 \text{ for all } b \in B\} \\ &= C_M(A_1/B_1) \oplus A_1 \end{aligned}$$

whence $A_1 \subseteq C_L(B)$.

(\impliedby) Assume now that B is an L -algebra, $A_1/B_1 \sim_L B$ and $A_1 \subseteq C_L(B)$. We need to show that A_1/B_1 is complemented in L . Since $A_1/B_1 \sim_L B$ we have

an L -isomorphism $\phi : B \rightarrow (A_1/B_1)_\alpha$ where $\alpha \in Z^1(L, A_1/B_1)$, by Proposition 1.1, and $A_1 \subseteq C_L(B)$. If $b \in B$, then

$$\phi(b) = \phi(b + a_1 \cdot b) = \phi(b) + a_1 \odot \phi(b) = \phi(b) + [\alpha(a_1), \phi(b)] + [a_1 + B_1, \phi(b)]$$

so $[\alpha(a_1) + a_1 + B_1, \phi(b)] = B_1$ for all $b \in B$; that is,

$$\alpha(a_1) + a_1 + B_1 \in C_L(A_1/B_1) \cap A_1/B_1 = B_1,$$

since A_1/B_1 is a nonabelian chief factor of L . Hence $\alpha(a_1) = -a_1 + B_1$.

Put $M = \text{Ker}(\alpha)$. Let $x \in L$ and $\alpha(x) = a_1 + B_1$. Then

$$\alpha(x + a_1) = \alpha(x) + \alpha(a_1) = (a_1 + B_1) + (-a_1 + B_1) = B_1$$

so $x + a_1 \in M$. Hence $L = M + A_1$. If $m \in M \cap A_1$ we have $B_1 = \alpha(m) = -m + B_1$, so $M \cap A_1 = B_1$ and M is a complement of A_1/B_1 in L . ■

Recall that,

- (i) the *socle* of L , $\text{Soc}(L)$ is the sum of all of the minimal non-zero ideals of L ; and
- (ii) if U is a subalgebra of L , the *core* of U , U_L , is the largest ideal of L contained in U . We say that U is *core-free* in L if $U_L = 0$.

We shall call L *primitive* if it has a core-free maximal subalgebra. Then we have the following characterisation of primitive Lie algebras.

Theorem 1.3. ([4, Theorem 1.1])

- (i) A Lie algebra L is primitive if and only if there exists a subalgebra M of L such that $L = M + A$ for all minimal ideals A of L .
- (ii) Let L be a primitive Lie algebra. Assume that U is a core-free maximal subalgebra of L and that A is a non-trivial ideal of L . Write $C = C_L(A)$. Then $C \cap U = 0$. Moreover, either $C = 0$ or C is a minimal ideal of L .
- (iii) If L is a primitive Lie algebra and U is a core-free maximal subalgebra of L , then exactly one of the following statements holds:
 - (a) $\text{Soc}(L) = A$ is a self-centralising abelian minimal ideal of L which is complemented by U ; that is, $L = U \dot{+} A$.
 - (b) $\text{Soc}(L) = A$ is a non-abelian minimal ideal of L which is supplemented by U ; that is $L = U + A$. In this case $C_L(A) = 0$.
 - (c) $\text{Soc}(L) = A \oplus B$, where A and B are the two unique minimal ideals of L and both are complemented by U ; that is, $L = A \dot{+} U = B \dot{+} U$. In this case $A = C_L(B)$, $B = C_L(A)$, and A , B and $(A + B) \cap U$ are nonabelian isomorphic algebras.

We say that L is

- *primitive of type 1* if it has a unique minimal ideal that is abelian;
- *primitive of type 2* if it has a unique minimal ideal that is non-abelian; and
- *primitive of type 3* if it has precisely two distinct minimal ideals each of which is non-abelian.

Let A/B and D/E be chief factors of L . We say that they are L -connected, if either they are L -isomorphic or there exists an epimorphic image of L which is primitive of type 3 and whose minimal ideals are L -isomorphic to the given factors. The property of being L -connected is an equivalence relation on the set of chief factors. The set of chief factors of L is denoted as:

$$CF(L) = \{A/B \mid A, B \text{ are ideals of } L, A/B \text{ is a chief factor of } L\}.$$

Let

$$I_L(A) = \{x \in L \mid \text{ad } x \upharpoonright_A = \text{ad } a \text{ for some } a \in A\},$$

where A is an L -algebra (and $\text{ad } x \upharpoonright_A$ refers to the module action of x on A .)

Lemma 1.4. (i) *Let A, B be ideals of a Lie algebra L with $B \subseteq A$. Then $I_L(A/B) = A + C_L(A/B)$.*

(ii) *Let A be an L -algebra with $C_L(A) \subseteq I_L(A)$. Then $I_L(A)/C_L(A)$ is isomorphic to a subalgebra of $A/Z(A)$.*

(iii) *A is an abelian L -algebra if and only if $I_L(A) = C_L(A)$.*

Proof. (i) We have

$$\begin{aligned} x \in I_L(A/B) &\Leftrightarrow \exists a' \in A \text{ such that } [x, a] + B = [a', a] + B \quad \forall a \in A \\ &\Leftrightarrow \exists a' \in A \text{ such that } [x - a', a] + B = B \quad \forall a \in A \\ &\Leftrightarrow \exists a' \in A \text{ such that } [x - a', a] \in B \quad \forall a \in A \\ &\Leftrightarrow \exists a' \in A \text{ such that } x - a' \in C_L(A/B) \\ &\Leftrightarrow x \in A + C_L(A/B) \end{aligned}$$

(ii) For $x \in I_L(A)$ let $a_x \in A$ be such that $x.a = a_x a$ for all $a \in A$. Define $\theta : I_L(A) \rightarrow A/Z(A)$ by $\theta(x) = a_x + Z(A)$. Then it is straightforward to check that θ is well-defined and is a homomorphism. Moreover, $\text{Ker}(\theta) = C_L(A)$, whence the result.

(iii) This is straightforward. ■

Let A, B be two L -algebras. If A and B are L -equivalent, then it is clear from Proposition 1.1 that $I_L(A) = I_L(B)$.

Proposition 1.5. *Let L be a Lie algebra and let $F_1, F_2 \in CF(L)$. Then the following assertions are equivalent:*

- (i) $F_1 \sim_L F_2$;
- (ii) F_1 and F_2 are L -connected;

- (iii) either $F_1 \cong_L F_2$ or there exist $E_i \in CF(L)$ such that $F_i \cong_L E_i$ for $i = 1, 2$, and the E_i 's have a common complement in L , which is a maximal subalgebra of L ; and
- (iv) either $F_1 \cong_L F_2$ or there exist $E_i \in CF(L)$ such that $F_i \cong_L E_i$ for $i = 1, 2$, and the E_i 's have a common complement in L .

Proof. From [4] we know that two abelian chief factors are L -equivalent if and only if they are L -isomorphic, and if and only if they are L -connected. Moreover, a complement U of an abelian chief factor A/B is a maximal subalgebra and L/U_L is primitive of type 1 with $Soc(L/U_L) = C/U_L$ and $C/U_L \cong_L A/B$, by [4, Remarks following Proposition 2.5]. So we may assume that the chief factors are nonabelian and not L -isomorphic. Let $F_1 = A/B$ and $F_2 = D/E$, where A, B, C, D are ideals of L .

(i) \Rightarrow (ii) Put $X = C_L(A/B)$ and $Y = C_L(D/E)$. Since F_1 and F_2 are non-abelian we have that $X \neq Y$, by [4, Theorem 2.1] Also, since $F_1 \sim_L F_2$, we have that $I_L(A/B) = I_L(D/E) := I$. Then $I = A + X = D + Y$, by Lemma 1.4. Also,

$$\frac{X + Y}{X} \subseteq \frac{A + X}{X} \cong_L \frac{A}{B} \text{ and } \frac{X + Y}{Y} \subseteq \frac{D + Y}{Y} \cong_L \frac{D}{E},$$

So $I = X + Y$, since $X \neq Y$ and

$$X/X \cap Y \cong_L I/Y \cong_L D/E \text{ and } Y/Y \cap X \cong_L I/X \cong_L A/B.$$

It thus suffices to show that $L/X \cap Y$ is primitive of type 3. Without loss of generality we can assume that $X \cap Y = 0$. Then $C_L(X) = Y$ and $C_L(Y) = X$. Moreover, since \sim_L is an equivalence relation, we have $Y \sim_L X$. Thus there is a 1-cocyle $\alpha \in Z^1(L, Y)$ and an L -isomorphism, $\phi : Y \rightarrow X_\alpha$, by Proposition 1.1. We also have that $U = Ker(\alpha)$ complements X in L , as in the proof of Proposition 1.2. Now let $y \in Y$ and $u \in Y \cap U$. Then

$$[u, \phi(y)] = 0 \text{ since } \phi(y) \in X = C_L(Y) \text{ and } u \in Y, \text{ and}$$

$$[\alpha(u), \phi(y)] = 0 \text{ since } \alpha(u) = 0.$$

But also,

$$\phi([u, y]) = u \odot \phi(y) = [\alpha(u), \phi(y)] + [u, \phi(y)] = 0$$

whence, $[u, y] = 0$, since ϕ is injective. It follows that $u \in C_L(Y) \cap Y = X \cap Y = 0$ and so $Y \cap U = 0$. Thus U is a maximal subalgebra of L with trivial core and F_1 and F_2 are L -connected.

(ii) \Rightarrow (iii) This follows immediately from the definition.

(iii) \Rightarrow (iv) This is trivial.

(iv) \Rightarrow (i) If, $F_1 \cong_L F_2$ then it is clear that $F_1 \sim_L F_2$. So suppose that there exist $E_i \in \mathcal{CF}(L)$ such that $F_i \cong_L E_i$ ($i = 1, 2$), and E_i 's have a common complement in L . Assume that the subalgebra U of L complements both A/B and D/E where $A/B \cong_L F_1$ and $D/E \cong_L F_2$. So U also complements $(U_L + A)/U_L$ and $(U_L + D)/U_L$. Let

$$\phi : \frac{U_L + A}{U_L} \rightarrow \frac{U_L + D}{U_L} \quad \text{and} \quad \beta : L \rightarrow \frac{U_L + A}{U_L}$$

be given by $\phi(a + U_L) = d + U_L$ if $a \in A$, $d \in D$ and $a + d \in U$, and $\beta(x) = a + U_L$ if $x \in L$, $a \in A$ and $x + a \in U$. Then it is straightforward to check that $\beta \in Z^1(L, (U_L + A)/U_L)$, and that ϕ is an L -isomorphism. Thus $(U_L + A)/U_L \sim_L (U_L + D)/U_L$ and $A/B \sim_L D/E$. This completes the proof. ■

Now we will give a definition for the A -crown of L , which is a generalization of a concept introduced by Towers in [4].

Let A be an irreducible L -algebra (that is, A is a Lie algebra and an irreducible L -module). Put $I = I_L(A)$. We set

$$D_L(A) = \cap \{R \mid R \subseteq I, R \text{ is an ideal of } L, A \sim_L I/R \text{ and } I/R \text{ is non-Frattini}\}$$

and

$$E_L(A) = \{x \in L \mid \alpha(x) = 0 \text{ for all } \alpha \in Z^1(L, A)\}.$$

Obviously, if $A \sim_L B$, then $D_L(A) = D_L(B)$ and $E_L(A) = E_L(B)$. The quotient, $I_L(A)/D_L(A)$ is then called the A -crown of L .

In [4] the *crown* of a supplemented chief factor A/B of L was defined to be C/R , where

$$C = A + C_L(A/B)$$

and

$$R = \cap \{M_L \mid M \in \mathcal{J}\},$$

where \mathcal{J} is the set of all maximal subalgebras which supplement a chief factor L -connected to A/B . Clearly $C = I_L(A/B)$ and $R = D_L(A/B)$ where A/B is considered to be an L -algebra in the natural way.

Let A be an L -algebra. Then the set of 1-coboundaries, $Z^1(L, A)$ and the 1-dimensional cohomology space, $H^1(L, A)$, are defined in the usual way (see, for example [1]). We put $A^L := \{a \in A \mid L.a = 0\}$. Then $A^L = H^0(L, A)$. Let N be an ideal of L . Then A is, by restriction, an N -algebra, and $Z^1(N, A)$, $H^1(N, A)$ become L -modules. Moreover, we have the following inflation-restriction exact sequences.

Lemma 1.6. *Let*

$$N \hookrightarrow L \twoheadrightarrow L/N$$

be a short exact sequence of Lie algebras, where N is an ideal of L and the arrows are the canonical inclusion and projection. If A is an L -algebra, we have the following exact sequences:

$$0 \longrightarrow Z^1(L/N, A^N) \xrightarrow{\text{inf}} Z^1(L, A) \xrightarrow{\text{res}} Z^1(N, A)$$

$$0 \longrightarrow H^1(L/N, A^N) \xrightarrow{\text{inf}} H^1(L, A) \xrightarrow{\text{res}} H^1(N, A)^{L/N}$$

where *inf* and *res* denote the corresponding inflation and restriction maps.

Theorem 1.7. *Let A be an irreducible L -algebra and let N be an ideal of L with $N \subseteq C_L(A)$. Then the following are equivalent:*

$$(1) N \subseteq E_L(A) \quad (2) Z^1(L, A) = Z^1(L/N, A) \quad (3) H^1(L, A) = H^1(L/N, A)$$

Proof. This follows from the above lemma. Note that the inflation is bijective if and only if the restriction is null and that is equivalent to $N \subseteq \text{Ker}(\alpha)$ for all $\alpha \in Z^1(L, A)$. ■

The analogue of the following result for groups was proved using cohomology theory. Here we give a more direct proof for the Lie algebra case.

Theorem 1.8. *If A is an abelian irreducible L -algebra, then $E_L(A) = D_L(A)$.*

Proof. Put $I = I_L(A) = C_L(A)$, by Lemma 1.4. Let $\alpha \in Z^1(L, A)$. First note that $\alpha|_I$ is an L -homomorphism from I into A , since

$$\alpha([x, y]) = x.\alpha(y) - y.\alpha(x) + \alpha(x)\alpha(y) = 0 \text{ for all } x, y \in I, \text{ and}$$

$$\alpha([x, i]) = x.\alpha(i) - i.\alpha(x) + \alpha(x)\alpha(i) = x.\alpha(i) \text{ for all } x \in L, i \in I.$$

It follows that $\alpha(I)$ is an L -submodule of A , and so $\alpha(I) = 0$ or A , by the irreducibility of A . The former implies that $D_L(A) \subseteq I \subseteq \text{ker}(\alpha)$. So suppose that $\alpha(I) = A$. Then $I/I \cap \text{ker}(\alpha) \cong_L A$. Moreover,

$$\begin{aligned} \dim(I + \text{ker}(\alpha)) &= \dim I + \dim \text{ker}(\alpha) - \dim I \cap \text{ker}(\alpha) \\ &= \dim A + \dim \text{ker}(\alpha) \\ &= \dim \text{im}(\alpha) + \dim \text{ker}(\alpha) \\ &= \dim L. \end{aligned}$$

It follows that $L = I + \text{ker}(\alpha)$, and $I/I \cap \text{ker}(\alpha)$ is complemented by $\text{ker}(\alpha)$ (which is a subalgebra of L) and so is non-Frattini. Hence $D_L(A) \subseteq I \cap \text{ker}(\alpha)$.

Thus, in either case, $D_L \subseteq \text{ker}(\alpha)$, and $D_L(A) \subseteq E_L(A)$.

Finally suppose that there exists $x \in E_L(A)$ such that $x \notin D_L(A)$. Then there exists $R \in D_L(A)$ such that $x \notin R$ but $x \in \text{ker}(\alpha)$ for all $\alpha \in Z^1(L, A)$. Since I/R is non-Frattini, there is a maximal subalgebra M of L such that $L = I + M$ and $I \cap M = R$. Now there is a cocycle $\beta \in Z^1(L, B)$ and an L -isomorphism ϕ from I/R onto A_β , by Proposition 1.1. Moreover $A_\beta = A$, since A is abelian. So define $\alpha : L \rightarrow A$ by $\alpha(m) = 0, \alpha(i) = \phi(i + R)$. Then it is straightforward to check that $\alpha \in Z^1(L, A)$ and that $M = \text{ker}(\alpha)$. Furthermore, $x \in I \cap M = R$, contradiction. Hence $E_L(A) \subseteq D_L(A)$ and equality results. ■

In the rest of this section we investigate the case where A is nonabelian.

Recall that, if A is an L -algebra, then $\alpha : L \rightarrow A$ is a 1-cocycle if and only if $\alpha^* : L \rightarrow A \rtimes L$ given by;

$$\alpha^*(x) = (\alpha(x), x)$$

is a homomorphism and $\alpha \mapsto \alpha^*(L)$ defines a bijection between $Z^1(L, A)$ and the set of all complements of A in $A \rtimes L$. Then

$$\ker(\alpha) = \alpha^*(L) \cap L$$

We can give the following characterization:

Theorem 1.9. *Let A be a nonabelian irreducible L -algebra. Then;*

$$E_L(A)_{A \rtimes L} = \cap \{C_L(B) \mid B \sim_L A\}.$$

Proof. By Proposition 1.1 we have that

$$\cap \{C_L(B) \mid B \sim_L A\} = \cap \{C_L(A_\alpha) \mid \alpha \in Z^1(L, A)\}.$$

Consider the semi-direct sum $A \rtimes L$. From the remark above this theorem, we have immediately that

$$E_L(A) = \cap \{H \mid H \text{ is a complement of } A \text{ in } A \rtimes L\}.$$

In particular $E_L(A)_{A \rtimes L}$ is an ideal of $A \rtimes L$ and $E_L(A) \cap A = 0$. As $E_L(A) \subseteq L$, we have that $E_L(A)_{A \rtimes L} \subseteq C_L(A)$. On the other hand, if $\alpha \in Z^1(L, A)$ and $x \in \ker(\alpha)$, then $x \in C_L(A)$ if and only if $x \in C_L(A_\alpha)$. So we have that

$$E_L(A)_{A \rtimes L} \subseteq \cap \{C_L(A_\alpha) \mid \alpha \in Z^1(L, A)\}.$$

Suppose now that $x \in \cap \{C_L(A_\alpha) \mid \alpha \in Z^1(L, A)\}$. Then, for all $\alpha \in Z^1(L, A)$ and for all $a \in A$ we have

$$0 = x \odot a = \alpha(x)a + x.a.$$

Putting $\alpha = 0$ we obtain that $x.a = 0$ for all $a \in A$. Thus, $\alpha(x)a = 0$ for all $a \in A$, and so $\alpha(x) \in Z(A) = 0$ as A is irreducible and nonabelian. Hence, $x \in E_L(A)$. The reverse inclusion follows. ■

Lemma 1.10. *Let A be an irreducible L -algebra such that $C_L(A) \subset I_L(A)$. Then,*

$$D_L(A) \subseteq C_L(A) \iff I_L(A)/C_L(A) \cong_L A$$

Proof. Put $I := I_L(A)$, etc. If $I/C \cong_L A$, then it is not Frattini, since it is nonabelian. It follows from the definition of $D_L(A)$ that $D_L(A) \subseteq C_L(A)$. Suppose now that $D_L(A) \subseteq C_L(A)$. Then A is nonabelian, so $I \neq C_L(A)$. Moreover, I/D is completely reducible (as in [4, Theorem 3.2]), so $I_L(A)/C_L(A) \cong_L A$. ■

Corollary 1.11. *Let A be a nonabelian irreducible L -algebra such that*

$$\{B \in CF(L) \mid B \sim_L A\} \neq \emptyset.$$

Then

$$D_L(A) = \cap \{C_L(B) \mid B \sim_L A, B \in CF(L)\}$$

Let A be a nonabelian irreducible L -algebra. We set

$$J_L(A) = \cap\{C_L(B) \mid B \sim_L A, B \not\cong_L F, F \in \mathcal{CF}(L)\}$$

if $\{B \mid B \sim_L A, B \not\cong_L F, F \in \mathcal{CF}(L)\} \neq \emptyset$ and we put $J_L(A) = I_L(A)$, otherwise.

Proposition 1.12. *Let A be a nonabelian irreducible L -algebra. Then*

$$I_L(A) = J_L(A) + D_L(A)$$

and

$$J_L(A) \cap D_L(A) = E_L(A)_{A \times L}$$

Proof. It is clear that $J_L(A) \cap D_L(A) = E_L(A)_{A \times L}$. Let $B \sim_L A$ be such that $B \not\cong_L F$ if $F \in \mathcal{CF}(L)$, and put $S := C_L(B)$, $I := I_L(A)$, etc. Then there is a 1-cocycle $\alpha \in Z^1(L, B)$ and an L -isomorphism $\phi : A \rightarrow B_\alpha$, by Proposition 1.1. Let $x \in S$ and $a \in A$. Then

$$\phi(x.a) = x \odot \phi(a) = \alpha(x)\phi(a) + x.\phi(a) = \alpha(x)\phi(a),$$

so $x.a = \phi^{-1}\alpha(x)a$. It follows that $x \in I$ and $S \subseteq I$. Now, $Z(B) = 0$, since B is nonabelian and an irreducible L -algebra, so there is an monomorphism $\theta : I/S = I_L(B)/S \rightarrow B$, by Lemma 1.4. Moreover, θ cannot be surjective, since $I/S \in \mathcal{CF}(L)$. Hence I/S is isomorphic to a proper subalgebra of B .

Now $D + S$ is an ideal of I and I/D is completely reducible L -algebra, with its irreducible components L -equivalent to A (as in [4, Theorem 3.2]), and thus to B . If A_i/D is an irreducible component of I/D , then $A_i \subseteq S$, as in Proposition 1.2. It follows that $D + S = I$.

Suppose that $D+J \subset I$. Let I/R be a chief factor of L such that $D+J \subseteq R$. Then, $I/R \cong_L A$ because $D \subseteq R$. As $J \subseteq R$, there exists $B \sim_L A$ with $B \not\cong_L F$ if $F \in \mathcal{CF}(L)$, such that $I/C_L(B)$ has a factor isomorphic to I/R , contradicting the fact that $\dim(I/C_L(B)) < \dim A$. ■

2. On Complemented Chief Factors

Let L be a Lie algebra. We say that a chief factor of L is a c -factor if it is complemented in L by a subalgebra, and that it is an m -factor if it is complemented by a maximal subalgebra of L ; otherwise we say that it is a c' -factor, respectively an m' -factor

Observe that, an abelian chief factor is an m -factor (respectively an m' -factor) if and only if it is a c -factor (respectively, a *Frattini* chief factor).

Let A/B and C/D be chief factors of L . We write $A/B \searrow C/D$ if $A = B + C$ and $B \cap C = D$. If $A/B \searrow C/D$, A/B is a Frattini chief factor and C/D is supplemented by a maximal subalgebra of L , then we call this situation an m -crossing, and denote it by $[A/B \searrow C/D]$.

We say that two chief factors A/B and C/D of L are m -related if one of the following holds.

1. There is a supplemented chief factor R/S such that $A/B \not\prec R/S \searrow C/D$.
2. There is an m -crossing $[U/V \searrow W/X]$ such that $A/B \not\prec V/X$ and $W/X \searrow C/D$.
3. There is a Frattini chief factor Y/Z such that $A/B \searrow Y/Z \not\prec C/D$.
4. There is an m -crossing $[U/V \searrow W/X]$ such that $A/B \searrow U/V$ and $U/W \not\prec C/D$.

Then we have the following result.

Proposition 2.1. *Let L be a Lie algebra over any field, let H and K be ideals of L with $H \subseteq K$, and let*

$$H = X_0 < X_1 < X_2 < \dots < X_n = K$$

and

$$H = Y_0 < Y_1 < Y_2 < \dots < Y_m = K$$

be two sections of chief series of L between H and K . Then $n = m$ and there exists a unique permutation π in S_n such that X_i/X_{i-1} and $Y_{\pi(i)}/Y_{\pi(i)-1}$ are m -related. In particular,

- (i) $X_i/X_{i-1} \sim_L Y_{\pi(i)}/Y_{\pi(i)-1}$
- (ii) X_i/X_{i-1} and $Y_{\pi(i)}/Y_{\pi(i)-1}$ are simultaneously m -factors or m' -factors.
- (iii) If X_i/X_{i-1} and $Y_{\pi(i)}/Y_{\pi(i)-1}$ are m -factors, then they have a maximal subalgebra of L as a common complement.

Proof. This follows from [5, Theorems 2.9 and 2.7]. ■

In particular, the number of m -factors in any chief series of L are the same. But this is no longer true for c -factors, in spite of the equivalence between (3) and (4) in Proposition 1.5, as we shall see in a later example.

If S is a subalgebra of L , the *normalizer of S in L* is defined as

$$N_L(S) = \{x \in L \mid [x, S] \subseteq S\}.$$

Lemma 2.2. *Assume that B^*/B is a c' -factor and that A^*/A is a c -factor of L , both of which are nonabelian and such that $B^*/B \searrow A^*/A$. Let $I = I_L(A^*/A)$ and $C = C_L(A^*/A)$. Then*

- (i) $I/C \searrow B^*/B$ and I/C is a c' -factor;
- (ii) there exists an ideal X of L with $X \subseteq I$ such that $X/N \searrow A^*/A$, where $N = X \cap C$, $I/C \searrow X/N$ and X/N is a c -factor;
- (iii) there exists a supplement F of I/C in L such that L/N is isomorphic to the natural semi-direct sum of I/C by F/C ; and
- (iv) L/C is a primitive Lie algebra of type 2 and $\text{Soc}(L/C) = I/C$.

Proof. We have that $B^*/B \searrow A^*/A$, so $A^* + B = B^*$ and $A^* \cap B = A$. Also, $[B, A^*] + A = A$ or A^* . But the latter implies that $A^* \subseteq A + B \cap A^* = A$, a contradiction, so $[B, A^*] \subseteq A$; that is, $B \subseteq C$. Hence $B^* + C = A^* + B + C = A^* + C = I$, by Lemma 1.4, and $B^* \cap C = (A^* + B) \cap C = B + A^* \cap C = B + A = B + A^* \cap B = B$. Thus $I/C \searrow B^*/B$. Suppose that I/C is a c -factor of L . Then there is a subalgebra U of L such that $L = I + U$ and $I \cap U = C$. But now $L = B^* + C + U = B^* + U$ and $B^* \cap U = B^* \cap I \cap U = B^* \cap C = B$, so B^*/B is a c -factor, a contradiction. Thus, I/C is a c' -factor of L and we have (i).

Let

$$A = A_0 < A_1 < \dots < A_n = C$$

be part of a chief series of L between A and C . Then

$$A^* = A^* + A_0 < A^* + A_1 < \dots < A^* + A_n = I$$

is part of a chief series of L between A^* and I . Suppose that $(A^* + A_i)/A_i$ is a c -factor for some $1 \leq i \leq n - 1$. Then there is a subalgebra U such that $L = A^* + A_i + U$ and $(A^* + A_i) \cap U = A_i$. Then $A_i \subseteq U$ so $L = A^* + U = A^* + A_{i-1} + U$ and $(A^* + A_{i-1}) \cap U = A^* \cap U + A_{i-1} = A_{i-1}$, since $A^* \cap U \subseteq A^* \cap A_i = A$. Thus $(A^* + A_{i-1})/A_{i-1}$ is a c -factor. It follows that $(A^* + A_k)/A_k$ is a c -factor and $(A^* + A_{k+1})/A_{k+1}$ is a c' -factor for some $0 \leq k \leq n - 1$, since A^*/A is a c -factor and I/C is a c' -factor. Put $N = A_k$, $X = A^* + A_k$, $Y = A_{k+1}$ and $M = A^* + A_{k+1}$. Then it is straightforward to check that

$$I/C \searrow M/Y \searrow X/N \searrow A^*/A$$

where M/Y is a c' -factor and X/N is a c -factor and we have (ii).

Without loss of generality we may assume that $N = 0$. Let U be a complement of X in L , so $L = X + U$ and $X \cap U = 0$, and consider, $K = U \cap C$. Then $[X, C] \subseteq X \cap C = N = 0$, since $I/C \searrow X/N$, so K is an ideal of L . We have $U + (K + X) = L$ and $U \cap (K + X) = K + (U \cap X) = K$. It follows that $(K + X)/K$ is a c -factor of L . Also $K + X + C = X + C = A^* + A_k + C = A^* + C = I$ and $(K + X) \cap C = K + X \cap C = K$, so $I/C \searrow (K + X)/K$ and we may assume that $K = 0$.

Observe that the map

$$u + x \longrightarrow (x + C, u + C)$$

where $u \in U$ and $x \in X$ defines an epimorphism between $L = X + U$ and the natural semidirect sum $I/C \rtimes ((U + C)/C)$. Furthermore, it is easy to check that the kernel of this map is N , so putting $F = U + C$ proves (iii).

We have

$$\frac{I}{C} = \frac{A^* + C}{C} \cong \frac{A^*}{A^* \cap C} = \frac{A^*}{A},$$

and $[U_L, I] \subseteq C$, whence $[U_L, A^*] \subseteq A$ and $U_L \subseteq C$. This establishes (iv). ■

We can construct an example of the situation in Lemma 2.2 as follows.

Example 2.3. Let L_0 be a primitive Lie algebra of type 2 with $Soc(L_0) = X_0$, where X_0 is not complemented in L_0 , and let U_0 be a supplement to X_0 in L_0 . So, for example, we could take $L_0 = sl(2) \otimes \mathcal{O}_m + 1 \otimes \mathcal{D}$, $X_0 = sl(2) \otimes \mathcal{O}_m$, $U_0 = (Fe_0 + Fe_1) \otimes \mathcal{O}_m + 1 \otimes \mathcal{D}$ where \mathcal{O}_m is the truncated polynomial algebra in m indeterminates, \mathcal{D} is a solvable subalgebra of $Der(\mathcal{O}_m)$, \mathcal{O}_m has no \mathcal{D} -invariant ideals, and the ground field is algebraically closed of characteristic $p > 5$ (see [6, Theorem 6.4]).

Put $Y_0 = U_0 \cap X_0$. Then X_0 is a U_0 -algebra and so we can form the semi-direct sum

$$L = X_0 \rtimes U_0 = \{(x, u) \mid x \in X_0, u \in U_0\}.$$

Put $X = \{(x, 0) \mid x \in X_0\}$, $U = \{(0, u) \mid u \in U_0\}$. Then $L = X + U$, $X \cap U = 0$ and X is an ideal of L .

Now let $B = \{(0, y) \mid y \in Y_0\}$, $W = \{(y, 0) \mid y \in Y_0\}$. Putting $C = \{(y, -y) \mid y \in Y_0\}$ and $I = X + C$, we have that

- (1) C is an ideal of L , $X \cap C = 0$, $I = X + B$ and $B = U \cap I$;
- (2) $X \cap (B + C) = W$, $B + W = B + C$, W is an ideal of $C + U$ and $[W, C] = 0$;
- (3) $W \cong_U B \cong_U C$.

Consider the following chief series of L .

$$0 < C < I < \dots < L \text{ and } 0 < X < I < \dots < L.$$

We have the situation of Lemma 2.2 with $N = 0$. Then I/C is a c' -factor and $X/0$ is a c -factor as in the lemma. Suppose that C is complemented in L , so there is a maximal subalgebra M of L such that $L = C \dot{+} M$. Then $[C, X] = 0$ so $X \cap M$ is an ideal of L . But X is a minimal ideal of L , so $X \cap M = 0$ or $X \subseteq M$. The former implies that $\dim(X + M) = \dim X + \dim M > \dim Y_0 + \dim M = \dim C + \dim M = \dim L$, which is impossible. The latter implies that $L = I + M$ and $I \cap M = (C + X) \cap M = C \cap M + X = X$, so M is a complement for I/X . If the chief factors between I and L are the same in each series then the second series has one more complemented chief factor than the first.

Then we have the following proposition.

Proposition 2.4. *Suppose that, in the situation of Proposition 2.1, X_i/X_{i-1} and $Y_{\pi(i)}/Y_{\pi(i)-1}$ are m' -factors. Then we have:*

- (a) *both factors are c' -factors; or*
- (b) *both factors are nonabelian c -factors; or*
- (c) *both factors are nonabelian, one of them is a c -factor, the other one is a c' -factor, and there exist ideals I, C, X , and N of L of satisfying (i)-(iv) of Lemma 2.2.*

Proof. Assume that X_i/X_{i-1} is a c' -factor and that $Y_{\pi(i)}/Y_{\pi(i)-1}$ is a c -factor. As these two chief factors are m -related, one of the following situations arises.

1. There is a supplemented chief factor R/S such that

$$X_i/X_{i-1} \swarrow R/S \searrow Y_{\pi(i)}/Y_{\pi(i)-1}.$$

Since X_i/X_{i-1} is a c' -factor, so is R/S . We are thus in the situation of Lemma 2.2 with $B^* = R$, $B = S$, $A^* = Y_{\pi(i)}$, $A^* = Y_{\pi(i)-1}$.

2. There is an m -crossing $[U/V \searrow W/X]$ such that

$$X_i/X_{i-1} \swarrow V/X \text{ and } W/X \searrow Y_{\pi(i)}/Y_{\pi(i)-1}.$$

Then [5, Theorem 2.4] implies that $[U/W \searrow V/X]$ is also an m -crossing.

Suppose that W/X is supplemented by the maximal subalgebra M of L , so $L = W + M$ and $X \subseteq W \cap M$. Then $L = W + M = U + M$. If $V \subseteq M$ then $V \subseteq U \cap M$ and U/V is supplemented by M , contradicting the fact that U/V is Frattini. Hence $V \not\subseteq M$. It follows that $L = V + M$. Moreover, $X \subseteq V \cap M \subseteq V$. As V/X is a chief factor of L we have $V \cap M = X$, and so V/X is a c -factor. But then X_i/X_{i-1} is a c -factor, which is a contradiction. Thus this case cannot occur.

3. There is a Frattini chief factor Y/Z such that

$$X_i/X_{i-1} \searrow Y/Z \swarrow Y_{\pi(i)}/Y_{\pi(i)-1}.$$

Since $Y_{\pi(i)}/Y_{\pi(i)-1}$ is a c -factor, so is Y/Z . But Y/Z is Frattini, so this is impossible and this case cannot occur.

4. There is an m -crossing $[U/V \searrow W/X]$ such that

$$X_i/X_{i-1} \searrow U/V \text{ and } U/W \swarrow Y_{\pi(i)}/Y_{\pi(i)-1}.$$

Then [5, Theorem 2.4] implies that $[U/W \searrow V/X]$ is also an m -crossing, so U/W is a c' -factor, contradicting the fact that $Y_{\pi(i)}/Y_{\pi(i)-1}$ is a c -factor. Hence this case cannot occur either. ■

Let A be an irreducible L -algebra. We say that A is of cc' -type in L if there exist two chief series of L in which case (c) of Proposition 2.4 holds with $A \sim_L X_i/X_{i-1}$ (Clearly this forces A to be nonabelian.)

Proposition 2.5. *Let v be the number of equivalence classes of irreducible L -algebras of cc' -type. Then the number of complemented chief factors on two chief series of L differs by at most v .*

Proof. A consequence of Proposition 2.4 is that, on a chief series of L , for each non-abelian crown there is at most one m' -factor. If the crown corresponds to a factor of cc' -type, this shows that on each chief series there is at most one c' -factor corresponding to the crown. ■

Theorem 2.6. *Let A be a nonabelian irreducible L -algebra. Then A is of cc' -type in L if and only if*

$$E_L(A) \subset D_L(A) \subset I_L(A)$$

and $\text{Soc}(P)$ is a c' -factor of P , where P is the corresponding primitive epimorphic image of L .

Proof. Put $E = E_L(A)$, $D = D_L(A)$ and $I = I_L(A)$ and suppose that $E \subset D \subset I$. Then, since $D_L(A) \neq \emptyset$, there is an ideal R of L such that $I/R \sim_L A$. Also, $J_L(A) \neq I$, since otherwise $D = E$, by Proposition 1.12, so there is an ideal B of L with $B \sim_L A$ and $B \not\cong_L F$ if $F \in \mathcal{CF}(L)$. Put $H = C_L(B)$. Then $H \subseteq I_L(B) = I$, by Proposition 1.1, and $H \neq I$, by Lemma 1.4 (iii), so $H \subset I$. Put $K = H \cap R$. Then $H/K \cong_L (H + R)/R$. Moreover, if $H + R = R$ then $H = R$, $H \subset I = I_L(B)$ and $D_L(B) = D \subseteq R = H$, so $I_L(B)/C_L(B) \cong_L B$, by Lemma 1.10, contradicting the fact that $B \not\cong_L F$ if $F \in \mathcal{CF}(L)$. It follows that $H + R = I$ and $H/K \cong_L I/R$, whence $A \sim_L H/K$. By Proposition 1.2, H/K is a c -factor of L and I/R is a c' -factor and so we have that A is of cc' -type.

Conversely, if A is of cc' -type, from the definition we obtain ideals I, C, X , and N of L and a subalgebra U of L such that $I/C \sim_L A$, I/C and X/N are m' -factors, I/C is a c' -factor, $I/C \searrow X/N$, and U complements X/N in L (using the same notation as Proposition 2.4). Note that $I = C + X$ and $C \cap X = N$, so $I/C \cong_L X/N$, whence $C_L(X/N) = C_L(I/C) = C$, by Lemma 2.2 (iii). Now $[L, U \cap C] = [U + X, U \cap C] \subseteq U \cap C$ since $[X, C] \subseteq N \subseteq U \cap C$, so $U \cap C$ is an ideal of L . Also

$$\frac{X + U \cap C}{U \cap C} \searrow \frac{X}{N}.$$

As in the proof of Proposition 1.2, we obtain an L -algebra B , $B \sim_L A$ such that

$$C_L(B) = X + C_U(X/N) = X + U \cap C.$$

Suppose now that there exists $F \in \mathcal{CF}(L)$, such that $F \cong_L B$. Then $I_L(F) = I_L(B) = I$ and $C_L(F) = C_L(B) = X + U \cap C$, and so $F \cong_L I/(X + U \cap C)$. It follows that $I/(X + U \cap C) \sim_L I/C$, which is a primitive Lie algebra of type 2, by Lemma 2.2 (iv). But

$$\frac{L}{X + U \cap C} \cong_L \frac{L}{U \cap C} \Big/ \frac{X + U \cap C}{U \cap C},$$

so $L/U \cap C$ is primitive of type 3. It follows that $(X + U \cap C)/U \cap C$ is an m -factor, and hence so is X/N , by [5, Lemma 2.1], a contradiction.

Moreover we have that

$$D_L(A) \subseteq C \subset I, \quad J_L(A) \subseteq X \subset I,$$

which completes the proof. ■

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