

Block Degeneracy for Graded Lie Superalgebras of Cartan Type

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Abstract. Let \mathbb{K} be an algebraically closed field of characteristic $p > 0$. In this short note, we illustrate a class of Lie superalgebras over \mathbb{K} such that the category of restricted supermodules is of one block. As an application, if $p > 3$ and \mathfrak{g} is a graded restricted Cartan type Lie superalgebra of type W, S and H, then the category of restricted supermodules of \mathfrak{g} is of one block.

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1. Introduction

A Lie superalgebra $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ over \mathbb{K} is called restricted if $(\mathfrak{g}_0, [p])$ is a restricted Lie algebra with p -mapping $[p] : \mathfrak{g}_0 \rightarrow \mathfrak{g}_0$ and \mathfrak{g}_1 is a restricted \mathfrak{g}_0 module via the adjoint action (cf. [5]). Let $(\mathfrak{g}, [p])$ be a restricted Lie superalgebra and $U(\mathfrak{g})$ be the enveloping superalgebra of \mathfrak{g} . One can define the so-called restricted enveloping superalgebra $u(\mathfrak{g}) = U(\mathfrak{g})/I_p$ where I_p is the \mathbb{Z}_2 -graded two-sided ideal generated by $\{x^p - x^{[p]} \mid x \in \mathfrak{g}_0\}$. A \mathfrak{g} supermodule $(V = V_0 \oplus V_1, \rho)$ is called restricted if ρ satisfies $\rho(x^{[p]}) = \rho(x)^p$ for all $x \in \mathfrak{g}_0$. All restricted \mathfrak{g} -supermodules constitute a full subcategory of the \mathfrak{g} -supermodule category which coincides with the $u(\mathfrak{g})$ -supermodule category denoted by $u(\mathfrak{g})$ -smod. We call that $u(\mathfrak{g})$ is of one block if $u(\mathfrak{g})$ -smod is of one block.

Over the past decades, the study of modular representations of restricted Lie (super)algebras in prime characteristic has made significant progress (see [3, 4, 8, 9, 10] for examples). When $\mathfrak{g} = W(0, n)$ over \mathbb{C} , Shomron proves in [6] that the category of finite-dimensional representations decomposes into blocks parametrized by $(\mathbb{C}/\mathbb{Z}) \times \mathbb{Z}_2$. In contrast to complex case, if either $\mathfrak{g} = X(m, 1)$ is a Cartan type Lie algebra where $X \in \{W, S, H, K\}$ ([3]) or $\mathfrak{g} = W(0, n, 1)$ is a Cartan type Lie superalgebra ([8]) over \mathbb{K} , the category of restricted (super)modules has only one block. In this paper, we generalize this degeneracy phenomenon of restricted supermodules to the so-called graded restricted Cartan type Lie superalgebras $X(m, n, 1)$ where $X \in \{W, S, H\}$.

Our paper is organized as follows. In Section 2, we illustrate a class of Lie superalgebras over \mathbb{K} such that the category of restricted supermodules is of one block. Section 3 is concerned with the structure of the Cartan type Lie superalgebras. Applying the results in Section 2, we obtain the following main theorem in Section 4:

Theorem 1.1. (see Theorem 4.6) *Let \mathbb{K} be an algebraically closed field with characteristics $p > 3$, and $\mathfrak{g} = X(m, n, 1)$, $X \in \{W, S, H\}$, be a graded restricted Lie superalgebra of Cartan type over \mathbb{K} except if $X = H$ with $n = 4$.*

Then $u(\mathfrak{g})$ is of one block.

As I know, Duan, Shu and Yao obtain similar results in [2] by a different method. Entire the whole paper, denote $I = \{0, 1, \dots, p-1\}$. For $n \in \mathbb{N}$, set $\mathbb{B}(n) = \cup_{k=0}^n \mathbb{B}_k$ where $\mathbb{B}_0 = \emptyset$ and $\mathbb{B}_k = \{(i_1, \dots, i_k) \mid m+1 \leq i_1 < \dots < i_k \leq m+n\}$ for $0 < k \leq n$.

2. Restricted Lie superalgebras with triangular Decomposition

Let $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ be a finite dimensional restricted Lie superalgebra over \mathbb{K} . We say that \mathfrak{g} admits a triangular decomposition relative to a maximal torus \mathfrak{h} of \mathfrak{g}_0 if there is a vector decomposition $\mathfrak{g} = \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+ \oplus \mathfrak{g}_1^+$ such that:

- (1) $\mathfrak{g}_0 = \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+$ is a triangular decomposition of \mathfrak{g}_0 ,
- (2) both $\mathfrak{n}^- \oplus \mathfrak{g}_1^-$ and $\mathfrak{n}^+ \oplus \mathfrak{g}_1^+$ are p -nilpotent restricted subalgebras,
- (3) $[\mathfrak{h}, \mathfrak{n}^\pm \oplus \mathfrak{g}_1^\pm] \subseteq \mathfrak{n}^\pm \oplus \mathfrak{g}_1^\pm$.

Set $\mathfrak{g}^\pm = \mathfrak{g}_1^\pm \oplus \mathfrak{n}^\pm$, $\mathfrak{b}_\mathfrak{g}^\pm = \mathfrak{g}_1^\pm \oplus \mathfrak{n}^\pm \oplus \mathfrak{h}$ and $\mathfrak{b}_{\mathfrak{g}_0}^\pm = \mathfrak{n}^\pm \oplus \mathfrak{h}$. Analogue to [3], we say that this decomposition for \mathfrak{g} is long if

$$\dim_{\mathbb{K}}(\mathfrak{n}^-) < \dim_{\mathbb{K}}(\mathfrak{n}^+) \text{ and } \dim_{\mathbb{K}}(\mathfrak{g}_1^-) < \dim_{\mathbb{K}}(\mathfrak{g}_1^+).$$

By [10], the iso-classes of simple restricted \mathfrak{g} modules are parametrized by restricted weights $\Lambda = \{\lambda \in \mathfrak{h}^* \mid \lambda(h^{[p]}) = \lambda(h)^p, \forall h \in \mathfrak{h}\}$. Precisely, for a given $\lambda \in \Lambda$, there is a one-dimensional restricted $\mathfrak{b}_\mathfrak{g}^+$ module $\mathbb{K}_\lambda = \mathbb{K} \cdot 1_\lambda$ on which \mathfrak{h} acts as a scalar determined by λ while $\mathfrak{g}_1^+ \oplus \mathfrak{n}^+$ acts trivially. Then one has the so-called baby Verma module

$$V^+(\lambda) := u(\mathfrak{g}) \otimes_{u(\mathfrak{b}_\mathfrak{g}^+)} \mathbb{K}_\lambda$$

with simple head $L(\lambda)$. Moreover, For any restricted simple module \mathfrak{m} , there is a $\lambda \in \Lambda$, such that $V^+(\lambda) \twoheadrightarrow \mathfrak{m}$ (cf. [10]).

Similarly, for each $\mu \in \Lambda$, the one-dimensional restricted $\mathfrak{b}_\mathfrak{g}^-$ module \mathbb{K}_μ induces an $u(\mathfrak{g})$ module

$$V^-(\mu) := u(\mathfrak{g}) \otimes_{u(\mathfrak{b}_\mathfrak{g}^-)} \mathbb{K}_\mu,$$

which is indecomposable with simple head.

If $\dim(\mathfrak{h}) = n$, by [7, Theorem 3.6], \mathfrak{h} possesses a basis $\{h_1, \dots, h_n\}$ such that $h_i^{[p]} = h_i$ for all $i = 1, \dots, n$. Then $\Lambda \simeq I^n = \{(\lambda_1, \dots, \lambda_n) \mid \lambda_i \in I, i = 1, \dots, n\}$ by sending $\lambda \in \Lambda$ to $(\lambda(h_1), \dots, \lambda(h_n)) \in I^n$.

For $M \in u(\mathfrak{g})$ -smod, let $[M]$ denote the formal sum of simple composition factors in the Grothendick ring of $u(\mathfrak{g})$ -smod.

Lemma 2.1. *Let $\mathfrak{l} = \mathfrak{l}_0 \oplus \mathfrak{l}_1$ be a finite dimensional restricted Lie superalgebra which admits a triangular decomposition relative to a maximal torus $\mathfrak{h}_\mathfrak{l}$ of \mathfrak{l}_0 :*

$$\mathfrak{l} = \mathfrak{l}_1^- \oplus \mathfrak{n}_\mathfrak{l}^- \oplus \mathfrak{h}_\mathfrak{l} \oplus \mathfrak{n}_\mathfrak{l}^+ \oplus \mathfrak{l}_1^+$$

where $\mathfrak{l}_0 = \mathfrak{n}_\mathfrak{l}^- \oplus \mathfrak{h}_\mathfrak{l} \oplus \mathfrak{n}_\mathfrak{l}^+$. Assume the following:

- (1) $\mathfrak{l}_1^- \oplus \mathfrak{n}_\mathfrak{l}^- \oplus \mathfrak{n}_\mathfrak{l}^+ \oplus \mathfrak{l}_1^+$ is a p -nilpotent \mathbb{Z}_2 -graded ideal.

(2) \mathfrak{n}_1^+ contains $\dim(\mathfrak{h}_1)$ weight vectors having linearly independent weights in Λ .

Then for each $\lambda \in \Lambda$, $[V^-(\lambda)]$ is independent of λ and

$$[V^-(\lambda)] = \sum_{\mu \in \Lambda} p^s 2^t [\mathbb{K}_\mu],$$

where $s = \dim(\mathfrak{n}_1^+) - \dim(\mathfrak{h}_1)$, $t = \dim(\mathfrak{l}_1^+)$ and \mathbb{K}_μ is the one dimensional simple $u(\mathfrak{l})$ module of weight μ .

Proof. Denote $\mathfrak{l}' = \mathfrak{l}_1^- \oplus \mathfrak{n}_1^- \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$. By (1), $\mathfrak{l}' < \text{rad}(\mathfrak{l})$. Since \mathfrak{l}' is p -nilpotent and finite dimension, \mathfrak{l}' is strictly triangulizable by [10, Lemma 2.2]. Therefore, each restricted simple representation of \mathfrak{l} is one dimensional and the iso-classes are parametrized by Λ . Let $\{\mathbb{K}_\mu \mid \mu \in \Lambda\}$ represent the set of non-isomorphic simple $u(\mathfrak{l})$ modules.

The composition factors of a module can be obtained by computing its weight spaces. By (2), suppose $n = \dim(\mathfrak{h}_1)$, \mathfrak{l}_1^+ has basis $\{z_1, \dots, z_t\}$ and \mathfrak{n}_1^+ has basis $\{x_1, \dots, x_n, y_1, \dots, y_s\}$, where x_i is of weight $\alpha_i \in \Lambda$ for each $i = 1, \dots, n$ such that $\alpha_1, \dots, \alpha_n$ are linear independent. Then $x_1^{i_1} \dots x_n^{i_n}$ has weight $i_1\alpha_1 + \dots + i_n\alpha_n$ for each $(i_1, \dots, i_n) \in I^n$.

For each choice of $\underline{j} \in I^s$ and $\underline{k} \in \mathbb{B}(t)$, as $u(\mathfrak{h}_1)$ module,

$$N = \text{span}_{\mathbb{K}}\{X^i Y^j Z^k \mid \underline{i} \in I^n\}$$

must have all weights occurring with multiplicity 1. Since

$$V^-(\lambda) = \text{span}_{\mathbb{K}}\{X^i Y^j Z^k \otimes 1_\lambda \mid \underline{i} \in I^n, \underline{j} \in I^s \text{ and } \underline{k} \in \mathbb{B}(t)\},$$

then all possible weights occurring with the same multiplicity $p^s 2^t$ in $V^-(\lambda)$.

Namely, $[V^-(\lambda)] = \sum_{\mu \in \Lambda} p^s 2^t [\mathbb{K}_\mu]$ which is independent of λ . ■

Proposition 2.2. Let $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ be a finite dimensional restricted Lie superalgebra which admits a long triangular decomposition relative to a maximal torus \mathfrak{h} of \mathfrak{g}_0 :

$$\mathfrak{g} = \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+ \oplus \mathfrak{g}_1^+, \quad \mathfrak{g}_0 = \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+.$$

Assume the following:

- (1) \mathfrak{g} has a restricted subalgebra \mathfrak{l} which satisfies the assumptions of Lemma 2.1.
- (2) $\mathfrak{n}_1^- = \mathfrak{n}^-$, $\mathfrak{l}_1^- = \mathfrak{g}_1^-$, $\mathfrak{h}_1 = \mathfrak{h}$, $\mathfrak{n}_1^+ = \mathfrak{l} \cap \mathfrak{n}^+$, $\mathfrak{l}_1^+ = \mathfrak{l} \cap \mathfrak{g}_1^+$. Hence, $\mathfrak{b}_1^- = \mathfrak{b}_\mathfrak{g}^-$.
- (3) $\mathfrak{n}_1^- = \mathfrak{n}^-$ has at least $\dim(\mathfrak{h})$ weight vectors having linearly independent weights in Λ .

Then for each $\lambda \in \Lambda$, $[V^-(\lambda)] = \sum_{\mu \in \Lambda} p^s 2^t [V^+(\mu)]$,

where $s = \dim(\mathfrak{n}^+) - \dim(\mathfrak{n}^-) - \dim(\mathfrak{h})$, $t = \dim(\mathfrak{g}_1^+) - \dim(\mathfrak{g}_1^-)$.

Proof. By Lemma 2.1, for each $\lambda \in \Lambda$,

$$[V^-(\lambda)] = [u(\mathfrak{g}) \otimes_{u(\mathfrak{l})} [u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_1^-)} \mathbb{K}_\lambda]] = \sum_{\mu \in \Lambda} p^\alpha 2^\beta [u(\mathfrak{g}) \otimes_{u(\mathfrak{l})} \mathbb{K}_\mu],$$

where $\alpha = \dim(\mathfrak{n}_1^+) - \dim(\mathfrak{h}_1)$, $\beta = \dim(\mathfrak{l}_1^+)$.

In particular, $[V^-(\lambda)]$ is independent of λ .

By assumption (3), $\mathfrak{b}_{\mathfrak{g}}^{\pm}$ satisfy the assumptions of Lemma 2.1. Therefore,

$$\begin{aligned} [u(\mathfrak{g}) \otimes_{u(\mathfrak{h})} \mathbb{K}_{\lambda}] &= [u(\mathfrak{g}) \otimes_{u(\mathfrak{b}_{\mathfrak{g}}^{\pm})} [u(\mathfrak{b}_{\mathfrak{g}}^{\pm}) \otimes_{u(\mathfrak{h})} \mathbb{K}_{\lambda}]] \\ &= \sum_{\mu \in \Lambda} p^{s_{\pm}} 2^{t_{\pm}} [u(\mathfrak{g}) \otimes_{u(\mathfrak{b}_{\mathfrak{g}}^{\pm})} \mathbb{K}_{\mu}] = \sum_{\mu \in \Lambda} p^{s_{\pm}} 2^{t_{\pm}} [V^{\pm}(\mu)], \end{aligned}$$

where $s_{\pm} = \dim(\mathfrak{n}^{\pm})$, $t_{\pm} = \dim(\mathfrak{g}_{\mp}^{\pm})$. Since the triangular decomposition of \mathfrak{g} is long, i.e. $s_+ > s_-$ and $t_+ > t_-$, we have

$$\sum_{\lambda \in \Lambda} [V^-(\lambda)] = \sum_{\mu \in \Lambda} p^{s_+ - s_-} 2^{t_+ - t_-} [V^+(\mu)].$$

Note that $[V^-(\lambda)]$ is independent of λ . Therefore, for all $\lambda \in \Lambda$,

$$\begin{aligned} p^{\dim(\mathfrak{h})} [V^-(\lambda)] &= \sum_{\mu \in \Lambda} p^{s_+ - s_-} 2^{t_+ - t_-} [V^+(\mu)], \\ [V^-(\lambda)] &= \sum_{\mu \in \Lambda} p^{s_+ - s_- - \dim(\mathfrak{h})} 2^{t_+ - t_-} [V^+(\mu)]. \quad \blacksquare \end{aligned}$$

Proposition 2.3. *Let $\mathfrak{g} = \mathfrak{g}_{\bar{0}} \oplus \mathfrak{g}_{\bar{1}}$ be a finite dimensional restricted Lie superalgebra which admits a triangular decomposition relative to a maximal torus \mathfrak{h} of $\mathfrak{g}_{\bar{0}}$:*

$$\mathfrak{g} = \mathfrak{g}_{\bar{1}}^- \oplus \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+ \oplus \mathfrak{g}_{\bar{1}}^+, \quad \mathfrak{g}_{\bar{0}} = \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+.$$

Assume that there exists a restricted subalgebra $\mathfrak{l} = \mathfrak{l}_{\bar{0}} \oplus \mathfrak{l}_{\bar{1}}$ such that:

(1) \mathfrak{l} is a classical Lie superalgebra and there is a bijection $\psi : \Lambda \rightarrow \Lambda$, such that

$$[u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_{\bar{1}}^-)} \mathbb{K}_{\lambda}] = [u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_{\bar{1}}^+)} \mathbb{K}_{\psi(\lambda)}].$$

(2) $\mathfrak{h} \subseteq \mathfrak{l}_{\bar{0}}$ and \mathfrak{l} admits a triangular decomposition relative to \mathfrak{h} :

$$\mathfrak{l} = \mathfrak{l}_{\bar{1}}^- \oplus \mathfrak{n}_{\bar{1}}^- \oplus \mathfrak{h} \oplus \mathfrak{n}_{\bar{1}}^+ \oplus \mathfrak{l}_{\bar{1}}^+, \quad \mathfrak{l}_{\bar{0}} = \mathfrak{n}_{\bar{1}}^- \oplus \mathfrak{h} \oplus \mathfrak{n}_{\bar{1}}^+$$

such that $\mathfrak{n}_{\bar{1}}^- = \mathfrak{n}^-$, $\mathfrak{l}_{\bar{1}}^- = \mathfrak{g}_{\bar{1}}^-$, $\mathfrak{n}_{\bar{1}}^+ = \mathfrak{l} \cap \mathfrak{n}^+$, $\mathfrak{l}_{\bar{1}}^+ = \mathfrak{l} \cap \mathfrak{g}_{\bar{1}}^+$. Hence, $\mathfrak{b}_{\bar{1}}^- = \mathfrak{b}_{\bar{g}}^-$.

(3) A vector space complementary to \mathfrak{l} , in \mathfrak{g} , has at least $\dim(\mathfrak{h})$ weight vectors having linearly independent weights in Λ .

Then

$$[V^-(\lambda)] = \sum_{\mu \in \Lambda} p^s 2^t [V^+(\mu)],$$

where $s = \dim(\mathfrak{n}^+) - \dim(\mathfrak{n}^-) - \dim(\mathfrak{h})$, $t = \dim(\mathfrak{g}_{\bar{1}}^+) - \dim(\mathfrak{g}_{\bar{1}}^-)$.

Proof. Similar to the proof of Lemma 2.1, for all $\lambda \in \Lambda$, we have

$$[u(\mathfrak{b}_{\bar{g}}^+) \otimes_{u(\mathfrak{b}_{\bar{1}}^+)} \mathbb{K}_{\lambda}] = \sum_{\mu \in \Lambda} p^s 2^t [\mathbb{K}_{\mu}],$$

where s and t are defined in the proposition. By assumption (1) and (2), we have

$$\begin{aligned} [u(\mathfrak{g}) \otimes_{u(\mathfrak{b}_{\bar{g}}^-)} \mathbb{K}_{\lambda}] &= [u(\mathfrak{g}) \otimes_{u(\mathfrak{l})} (u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_{\bar{1}}^-)} \mathbb{K}_{\lambda})] = [u(\mathfrak{g}) \otimes_{u(\mathfrak{l})} (u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_{\bar{1}}^+)} \mathbb{K}_{\psi(\lambda)})] \\ &= [u(\mathfrak{g}) \otimes_{u(\mathfrak{b}_{\bar{g}}^+)} (u(\mathfrak{b}_{\bar{g}}^+) \otimes_{u(\mathfrak{b}_{\bar{1}}^+)} \mathbb{K}_{\psi(\lambda)})] = \sum_{\mu \in \Lambda} p^s 2^t [u(\mathfrak{g}) \otimes_{u(\mathfrak{b}_{\bar{g}}^+)} \mathbb{K}_{\mu}], \end{aligned}$$

as desired. \blacksquare

Remark 2.4. The Lie algebra version of Lemma 2.1 and Proposition 2.2 (resp. Proposition 2.3) is investigated in [3] (resp. [4]).

Corollary 2.5. *If \mathfrak{g} is a restricted Lie superalgebra which satisfies all assumptions in Proposition 2.2 or 2.3, then $u(\mathfrak{g})$ is of one block.*

Proof. Note that $V^-(\lambda)$ is indecomposable with simple head for all $\lambda \in \Lambda$. The proof of Corollary 2.4 in [3] still works. Hence, the corollary holds. ■

3. Restricted Cartan Type Lie Superalgebras

For given positive integers m and n , put

$$\tau(i) = \begin{cases} 0, & 1 \leq i \leq m; \\ 1, & m + 1 \leq i \leq m + n. \end{cases}$$

Let $A(m, 1) = \mathbb{K}[T_1, \dots, T_m]/(T_1^p, \dots, T_m^p)$ be the truncated polynomial algebra over \mathbb{K} . Denote by x_i the image of T_i in the quotient. Then $A(m, 1)$ has a basis $\{x^\alpha \mid \alpha \in I^m\}$ where $x^\alpha = x_1^{\alpha_1} \dots x_m^{\alpha_m}$ if $\alpha = (a_1, \dots, a_m)$. Let $\Lambda(n)$ be the Grassmann superalgebra over \mathbb{K} in n variables x_{m+1}, \dots, x_{m+n} with basis $\{x^{(\beta)} \mid \beta \in \mathbb{B}(n)\}$ where $x^{(\beta)} = x_{i_1} \dots x_{i_k}$ if $\beta = (i_1, \dots, i_k)$.

Denote the tensor product by $A(m, n, 1) = A(m, 1) \otimes \Lambda(n)$. Then $A(m, n, 1)$ is an associative superalgebra with a \mathbb{Z}_2 -gradation induced by the trivial \mathbb{Z}_2 -gradation of $A(m, 1)$ and the natural \mathbb{Z}_2 -gradation of $\Lambda(n)$. Finally, denote by $d(f)$ the parity of $f \in A(m, n, 1)$.

Let D_1, \dots, D_{m+n} be the superderivations of the superalgebra $A(m, n, 1)$ such that $D_i(x_j) = \delta_{ij}$ for $1 \leq i, j \leq m + n$. Define

$$W(m, n, 1) = \left\{ \sum_{i=1}^{m+n} f_i D_i \mid f_i \in A(m, n, 1), 1 \leq i \leq m + n \right\}.$$

Then $W(m, n, 1)$ is a \mathbb{Z} -graded restricted Lie superalgebra of Witt type. The \mathbb{Z} -grading of

$$W(m, n, 1) = \bigoplus_{i \in \mathbb{Z}} W(m, n, 1)_i$$

is induced by $|x_i| = 1$ and $|D_i| = -1$ for all $1 \leq i \leq m + n$. Namely,

$$W(m, n, 1)_i = \left\{ \sum_{j=1}^{m+n} f_j D_j \mid |f_j| = i + 1 \right\}.$$

For each pair $1 \leq i, j \leq m + n$ defines a linear map

$$D_{ij} : A(m, n, 1) \rightarrow W(m, n, 1)$$

by $D_{ij}(f) = f_i D_i + f_j D_j$ where f is homogeneous and

$$f_i = -(-1)^{d(f)(\tau(i)+\tau(j))} D_j(f), \quad f_j = (-1)^{\tau(i)\tau(j)} D_i(f).$$

The special superalgebra $S(m, n, 1)$ is defined by

$$S(m, n, 1) = \langle D_{ij}(f) \mid f \text{ is homogeneous, } 1 \leq i < j \leq m + n \rangle.$$

Then $S(m, n, 1)$ is a \mathbb{Z} -graded restricted subalgebra of $W(m, n, 1)$. The \mathbb{Z} -grading structure is given by $S(m, n, 1)_i := S(m, n, 1) \cap W(m, n, 1)_i$.

Next we define the Hamiltonian type Lie superalgebra $H(m, n, 1)$, where $m = 2l$ is even and $n > 3$. Let

$$i' = \begin{cases} i + l, & 1 \leq i \leq l, \\ i - l, & l + 1 \leq i \leq m, \\ i, & m < i \leq m + n; \end{cases} \quad \sigma(i) = \begin{cases} 1, & 1 \leq i \leq l, \\ -1, & l + 1 \leq i \leq m, \\ 1, & m < i \leq m + n. \end{cases}$$

The Hamiltonian operator D_H is defined as:

$$D_H: A(m, n, 1) \rightarrow W(m, n, 1), \quad f \mapsto D_H(f) = \sum_{i=1}^{m+n} f_i D_i,$$

where f is homogeneous and $f_i = \sigma(i')(-1)^{\tau(i')d(f)} D_{i'}(f)$.

The Hamiltonian superalgebra $H(m, n, 1)$ is defined by

$$\bar{H}(m, n, 1) = \langle D_H(f) \mid f \text{ is homogeneous} \rangle, \quad H(m, n, 1) = [\bar{H}(m, n, 1), \bar{H}(m, n, 1)].$$

Then $H(m, n, 1)$ is a \mathbb{Z} -graded restricted subalgebra of $W(m, n, 1)$. The \mathbb{Z} -grading structure is given by $H(m, n, 1)_i := H(m, n, 1) \cap W(m, n, 1)_i$.

4. Blocks of Cartan type Lie superalgebra

Entire this section, assume $p > 3$.

4.1. Type W. For $W(m, n, 1)$, there is no subalgebra \mathfrak{l} satisfying the hypothesis of Proposition 2.2 (in fact, assumption (3) fails). Hence, we need Proposition 2.3.

Let \mathfrak{l} be a restricted Lie superalgebra of classical type with triangular decomposition $\mathfrak{l} = \mathfrak{l}^- \oplus \mathfrak{h}_{\mathfrak{l}} \oplus \mathfrak{l}^+$ with respect to \mathfrak{h} . Suppose σ is an even restricted automorphism of \mathfrak{l} such that $\sigma(\mathfrak{h}_{\mathfrak{l}}) \subseteq \mathfrak{h}_{\mathfrak{l}}$. Then it induces $\tilde{\sigma} : \mathfrak{h}_{\mathfrak{l}}^* \rightarrow \mathfrak{h}_{\mathfrak{l}}^*$ by

$$\tilde{\sigma}(\lambda)(h) = -\lambda(\sigma(h))$$

where $\lambda \in \mathfrak{h}_{\mathfrak{l}}^*$, $h \in \mathfrak{h}_{\mathfrak{l}}$. Moreover, $\tilde{\sigma}(\Lambda) \subseteq \Lambda$.

Denote $\mathfrak{b}_{\mathfrak{l}} = \mathfrak{h}_{\mathfrak{l}} \oplus \mathfrak{l}^+$ a solvable subalgebra of \mathfrak{l} and $V(\lambda) = u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_{\mathfrak{l}})} \mathbb{K}_{\lambda}$ the baby Verma module where \mathbb{K}_{λ} is a one-dimensional $u(\mathfrak{b}_{\mathfrak{l}})$ module with weight λ . Let $V^{\sigma}(\lambda)$ be the twisted baby Verma module. Namely, $V^{\sigma}(\lambda) \simeq V(\lambda)$ as vector spaces while $x \cdot m := \sigma(x)(m)$ for all $x \in u(\mathfrak{l})$, $m \in V^{\sigma}(\lambda)$.

The following lemma is a straightforward calculation.

Lemma 4.1. *Keep assumptions as above, $V^{\sigma}(\lambda) \simeq u(\mathfrak{l}) \otimes_{u(\sigma^{-1}(\mathfrak{b}_{\mathfrak{l}}))} \mathbb{K}_{-\tilde{\sigma}(\lambda)}$ by sending $x \otimes 1_{\lambda}$ to $\sigma^{-1}(x) \otimes 1_{-\tilde{\sigma}(\lambda)}$. In particular, $[V(\lambda)] = [V^{\sigma}(\lambda)] = [u(\mathfrak{l}) \otimes_{u(\sigma^{-1}(\mathfrak{b}_{\mathfrak{l}}))} \mathbb{K}_{-\tilde{\sigma}(\lambda)}]$.*

Define $\mathfrak{g} = W(m, n, 1)$. Let $\mathfrak{h} = \langle h_1, \dots, h_{m+n} \rangle$ be a maximal torus of \mathfrak{g} where $h_i := x_i D_i$ for $i = 1, \dots, m+n$. One can check that $\mathfrak{g} = \mathfrak{g}^- \oplus \mathfrak{h} \oplus \mathfrak{g}^+$ is a triangular decomposition related to \mathfrak{h} where

$$\mathfrak{g}^+ = \langle x_i D_j \mid 1 \leq i < j \leq m+n \rangle \oplus \bigoplus_{i \geq 1} \mathfrak{g}_i,$$

$$\mathfrak{g}^- = \langle x_j D_i \mid 1 \leq i < j \leq m+n \rangle \oplus \mathfrak{g}_{-1}.$$

Now, set $\mathfrak{l} = \langle D_1, \dots, D_{m+n} \rangle \oplus \mathfrak{g}_0 \oplus \langle p_1, \dots, p_{m+n} \rangle$

where $p_i = x_i \sum_{j=1}^{m+n} x_j D_j \in \mathfrak{g}_1$. Thanks to [1, Lemma 3.1], $\mathfrak{l} \simeq \mathfrak{pgl}(m+1|n)$. Now let $e_i := E_{i,i+1}$ and $f_i := E_{i+1,i}$. Then $\mathfrak{pgl}(m+1|n)$ has the generators $\{e_i, f_i \mid i = 1, \dots, m+n-1\}$. There is an even restricted automorphism α of \mathfrak{l} induced by $\alpha(e_i) = f_i$ and $\alpha(f_i) = e_i$. Note that $\alpha(\mathfrak{b}_1^\pm) = \mathfrak{b}_1^\mp$ and $\tilde{\alpha}$ keeps Λ . By Lemma 4.1, we have

$$[u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_1^-)} 1_\lambda] = [u(\mathfrak{l}) \otimes_{u(\mathfrak{b}_1^+)} 1_{-\tilde{\alpha}(\lambda)}].$$

Therefore, \mathfrak{l} is a subalgebra satisfying (1) and (2) of Proposition 2.3.

For each $i = 1, \dots, m+n$, $x_i^3 D_i$ has weight $2\gamma_i$ where $\gamma_i \in \Lambda$ such that $\gamma_i(h_j) = \delta_{ij}$. Therefore, the complementary of \mathfrak{l} contains weight vectors $\{x_i^3 D_i \mid i = 1, \dots, m+n\}$ with linear independent weights. Assumption (3) of Proposition 2.3 is satisfied.

To sum above up, all assumptions of Proposition 2.3 hold for $W(m, n, 1)$ and hence we have the following proposition by Corollary 3.4.

Proposition 4.2. *Keep assumptions as above, and let $\mathfrak{g} = W(m, n, 1)$, then $u(\mathfrak{g})$ is of one block.*

4.2. Type S. Denote $\mathfrak{g} = S(m, n, 1)$. Let $\mathfrak{h} = \langle h_i \mid 1 \leq i \leq m+n-1 \rangle$ be a maximal torus of \mathfrak{g} where $h_i := x_i D_i - x_{i+1} D_{i+1}$ for $i = 1, \dots, m+n-1$. One can check that $\mathfrak{g} = \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+ \oplus \mathfrak{g}_1^+$ is a triangular decomposition related to \mathfrak{h} where $\mathfrak{n}^\pm = \mathfrak{g}_0 \cap W(m, n, 1)^\pm$, $\mathfrak{g}_1^\pm = \mathfrak{g}_1 \cap W(m, n, 1)^\pm$.

It is a direct computation that

$$\mathfrak{g}_1^- = \langle D_{m+1}, \dots, D_{m+n} \rangle \oplus \langle x_i D_j \mid 1 \leq i \leq m < j \leq m+n \rangle, \text{ and}$$

$$\mathfrak{n}^- = \langle D_1, \dots, D_m \rangle \oplus \langle x_i D_j \mid 1 \leq i < j \leq m \text{ or } m+1 \leq i < j \leq m+n \rangle.$$

Set $\mathfrak{l} = \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$ where $\mathfrak{n}_1^+ = \mathfrak{s} \oplus \mathfrak{t}$,

$$\mathfrak{s} = \langle x^{(a)} x_{m+i} D_{m+n} \mid (a) \in I^m \setminus \{0\}, 1 \leq i < n \rangle,$$

$$\mathfrak{t} = \langle x^{(a)} D_m \mid (a) \in I^m, a_m = 0, |a| \geq 2 \rangle,$$

$$\mathfrak{l}_1^+ = \langle x^{(a)} D_{m+n} \mid (a) \in I^m \setminus \{0\} \rangle.$$

One can check the followings:

- $[\mathfrak{h}, \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+] \subseteq \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$;
- $\mathfrak{g}_1^- \oplus \mathfrak{n}^-$ is p -nilpotent;
- $[\mathfrak{n}^-, \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+] \subseteq \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$; $[\mathfrak{g}_1^-, \mathfrak{n}_1^+] \subseteq \mathfrak{l}_1^+$, $[\mathfrak{g}_1^-, \mathfrak{l}_1^+] = 0$;
- $[\mathfrak{s}, \mathfrak{s}] = [\mathfrak{t}, \mathfrak{t}] = [\mathfrak{s}, \mathfrak{l}_1^+] = [\mathfrak{l}_1^+, \mathfrak{l}_1^+] = 0$, $[\mathfrak{s}, \mathfrak{t}] \subseteq \mathfrak{s}$, and $[\mathfrak{t}, \mathfrak{l}_1^+] \subseteq \mathfrak{l}_1^+$.

Therefore, $\mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$ is a p -nilpotent ideal. \mathfrak{l} is a subalgebra satisfying (1) of Lemma 2.1 and (2) of Proposition 2.2

Now define $\gamma_i \in \Lambda$ by $\gamma_i(h_j) = \delta_{ij}$, $1 \leq i, j \leq m+n-1$.

For $1 \leq i \leq m$ and $1 \leq j \leq n-1$, D_i has weight $(1-\delta_{1,i})\gamma_{i-1}-\gamma_i$ while $x_{m+j}D_{m+j+1}$ has weight $-\gamma_{m+j-1}+2\gamma_{m+j}-(1-\delta_{j,n-1})\gamma_{m+j+1}$ with respect to \mathfrak{h} . One can check that \mathfrak{n}^- contains $m+n-1$ weight vectors

$$\{D_i, x_{m+j}D_{m+j+1} \mid 1 \leq i \leq m; 1 \leq j \leq n-1\}.$$

with linear independent weights. Therefore, assumption (3) of Proposition 2.2 is satisfied.

For $1 \leq i \leq m-1$, $2 \leq j \leq m$, $x_i^2 D_j$ has weight $2\gamma_i - 2(1-\delta_{1,i})\gamma_{i-1} + \gamma_{j-1} - \gamma_j$ while $x_1^3 D_j$ has weight $3\gamma_1 + \gamma_{j-1} - \gamma_j$.

For $1 \leq j \leq n-1$, $x_1 x_{m+j} D_{m+n}$ has weight $\gamma_1 + \gamma_{m+j-1} - \gamma_{m+j} - \gamma_{m+n-1}$. Hence, \mathfrak{n}_1^+ contains $m+n-1$ weight vectors

$$\{x_1^2 D_i, x_1 x_{m+j} D_{m+n} \mid 2 \leq i \leq m; 1 \leq j \leq n-1\} \cup \{x_1^3 D_2\}$$

with linear independent weights. Assumption (2) of Lemma 2.1 is satisfied.

To sum above up, all assumptions of Proposition 2.2 hold for $S(m, n, 1)$ and hence we have the following proposition by Corollary 3.4.

Proposition 4.3. *Keep assumptions as above, and let $\mathfrak{g} = S(m, n, 1)$, then $u(\mathfrak{g})$ is of one block.*

4.3. Type H Let $\mathfrak{g} := H(m, n, 1)$, where $m = 2l$, $n > 3$. Denote $k = [n/2]$. For every $(a) = (a_1, \dots, a_m) \in I^m$ and $(b) = (b_1, \dots, b_u) \in \mathbb{B}_u \subseteq \mathbb{B}(n)$, denote

$$X^{(a)} Y^{(b)} = x_1^{a_1} \cdots x_m^{a_m} x_{m+b_1} \cdots x_{m+b_u}.$$

By definition, $\mathfrak{g} = \langle D_H(X^{(a)} Y^{(b)}) \mid X^{(a)} Y^{(b)} \neq x_1^{p-1} \cdots x_m^{p-1} x_{m+1} \cdots x_{m+n} \rangle$.

Fix a maximal torus \mathfrak{h} with basis

$$\{h_i, h_{m+j} \mid i = 1, \dots, l; j = 1, \dots, k\}$$

where $h_i = D_H(x_i x_{l+i})$, $h_{m+j} = D_H(\sqrt{-1} x_{m+j} x_{m+k+j})$.

For $1 \leq i \leq k$, set $e_i := x_{m+i} + \sqrt{-1} x_{m+k+i}$ and $f_i := x_{m+i} - \sqrt{-1} x_{m+k+i}$.

Then both $D_H(e_i)$ and $D_H(f_i)$ are homogeneous odd elements of degree -1 .

Define the following subspaces of \mathfrak{g} :

$$\alpha = \langle D_i \mid m+1 \leq i \leq m+n \rangle \oplus \langle D_H(x_i f_j) \mid 1 \leq i \leq m; 1 \leq j \leq k \rangle;$$

$$\beta = \langle D_H(x_i x_{m+n}) \mid l+1 \leq i \leq m \rangle;$$

$$\mathfrak{n}_1^- = \langle D_H(x_i x_j) \mid l+1 \leq i, j \leq 2l \text{ or } 1 \leq i < l \leq j < i+l \rangle \oplus \langle D_1, \dots, D_m \rangle;$$

$$\mathfrak{n}'_2 = \langle D_H(a_{ij}), D_H(b_{ij}) \mid 1 \leq i < j \leq k \rangle \text{ where } a_{ij} = f_i e_j, b_{ij} = f_i f_j;$$

$$\mathfrak{n}'_3 = \langle D_H(f_i x_{m+n}) \mid 1 \leq i \leq k \rangle.$$

Let $\mathfrak{g} = \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+ \oplus \mathfrak{g}_1^+$ be a triangular decomposition related to maximal torus \mathfrak{h} where $\mathfrak{n}^\pm = \mathfrak{g}_0 \cap W(m, n, 1)^\pm$, $\mathfrak{g}_1^\pm = \mathfrak{g}_1 \cap W(m, n, 1)^\pm$.

One can check that $\mathfrak{n}^- = \mathfrak{n}_1^- \oplus \mathfrak{n}_2^-$ where

$$\mathfrak{n}_2^- = \begin{cases} \mathfrak{n}'_2, & n = 2k, \\ \mathfrak{n}'_2 \oplus \mathfrak{n}'_3, & n = 2k+1; \end{cases} \text{ and } \mathfrak{g}_1^- = \begin{cases} \alpha, & n = 2k, \\ \alpha \oplus \beta, & n = 2k+1. \end{cases}$$

Remark 4.4. Above description for \mathfrak{n}_2^- due to [9, section 2.2].

For each $(c) = (c_1, \dots, c_u) \in \mathbb{B}(k)$, $0 \leq u \leq k$, denote $f^{(c)} = f_{c_1} \cdots f_{c_u}$. In this case, the parity of $D_H(f^{(c)})$ equals to the parity of $u = c_1 + \cdots + c_u$.

If $n = 2k$ is even, define $\mathfrak{l}^+ = \langle D_H(x^{(a)} f^{(c)}) \mid a_j = 0 \text{ if } 1 \leq j \leq l; |(a)| + |(c)| \geq 3 \rangle$.

If $n = 2k + 1$ is odd, define

$$\mathfrak{l}^+ = \langle D_H(x^{(a)} f^{(c)} x_{m+n}^\delta) \mid a_j = 0 \text{ if } 1 \leq j \leq l; \delta \in \{0, 1\}; |(a)| + |(c)| + \delta \geq 3 \rangle.$$

Let \mathfrak{n}_1^+ (resp. \mathfrak{l}_1^+) be the even (resp. odd) part of \mathfrak{l}^+ .

One can check that $\mathfrak{l} := \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$ is a restricted subalgebra of \mathfrak{g} . Note that for all $f, g \in A(m, n, 1)$ homogeneous,

$$[D_i, D_H(f)] = D_H(D_i(f)), \quad \text{and}$$

$$[D_H(f), D_H(g)] = D_H \left(\sum_{i=1}^{m+n} \sigma(i) (-1)^{\tau(i)d(f)} D_i(f) D_{i'}(g) \right).$$

We have the following:

- $[D_H(e_i), D_H(e_j)] = [D_H(f_i), D_H(f_j)] = 0$;
- $[D_H(e_i), D_H(f_j)] = [D_H(f_j), D_H(e_i)] = -2\delta_{ij}$;
- $[\mathfrak{h}, \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+] \subseteq \mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$;
- $\mathfrak{g}_1^- \oplus \mathfrak{n}^-$ is p -nilpotent;
- $[\mathfrak{n}^-, \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+] \subseteq \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$; $[\mathfrak{g}_1^-, \mathfrak{n}_1^+] \subseteq \mathfrak{l}_1^+$, $[\mathfrak{g}_1^-, \mathfrak{l}_1^+] = 0$;
- $[\mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+, \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+] = 0$.

Therefore, $\mathfrak{g}_1^- \oplus \mathfrak{n}^- \oplus \mathfrak{n}_1^+ \oplus \mathfrak{l}_1^+$ is a p -nilpotent ideal. \mathfrak{l} is a subalgebra satisfying (1) of Lemma 2.1 and (2) of Proposition 2.2.

For each $1 \leq i, j \leq l$, $1 \leq u, v \leq k$, defines $\gamma_i, \delta_j \in \Lambda$ by $\gamma_i(h_j) = \delta_{ij}$, and $\delta_u(h_{m+v}) = \delta_{uv}$.

For $1 \leq i \leq l$ and $1 \leq j \leq k$, D_i has weight $-\gamma_i$ while $D_H(e_j)$ (resp. $D_H(f_j)$) has weight δ_j (resp. $-\delta_j$) with respect to \mathfrak{h} . Then b_{uv} has weight $-\delta_u - \delta_v$ for each $1 \leq u, v \leq k$ and a_{12} has weight $-\delta_1 + \delta_2$.

Therefore, \mathfrak{n}^- contains $l + k$ linear independent vectors

$$\{D_i, D_H(b_{j,j+1}) \mid 1 \leq i \leq l; 1 \leq j \leq k - 1\} \cup \{D_H(a_{12})\}.$$

with linear independent weights. Assumption (3) of Proposition 2.2 is satisfied.

For $1 \leq i \leq l$, $D_H(x_{l+i}^3)$ has weight $3\gamma_i$. For $1 \leq u < v \leq k - 1$, $D_H(x_{l+1}^2 b_{u,v})$ has weight $2\gamma_1 - \delta_u - \delta_v$, and $D_H(x_{l+1}^2 f_k x_{m+n})$ has weight $2\gamma_1 - \delta_k$ if $n = 2k + 1$. Moreover, \mathfrak{n}^+ has a subset S containing $l + k$ weight vectors with linear independent weights as following:

If $k \geq 3$ is odd,

$$S = \{D_H(x_{l+i}^3), D_H(x_{l+1}^2 b_{j,j+1}) \mid 1 \leq i \leq l; 1 \leq j \leq k - 1\} \cup \{D_H(x_{l+1}^2 b_{1k})\};$$

If $k \geq 3$ is even,

$$S = \{D_H(x_{l+i}^3), D_H(x_{l+1}^2 b_{j,j+1}) \mid 1 \leq i \leq l; 1 \leq j \leq k - 1\} \cup \{D_H(x_{l+1}^2 b_{2k})\};$$

If $n = 5$, $S = \{D_H(x_{l+i}^3) \mid 1 \leq i \leq l\} \cup \{D_H(x_{l+1}^2 b_{12}), D_H(x_{l+1}^2 f_{12} x_{m+5})\}$.

Therefore, assumption (2) of Lemma 2.1 is satisfied if $n > 4$.

Proposition 4.5. *Keep assumptions as above, and let $\mathfrak{g} = H(m, n, 1)$ with $n > 4$, then $u(\mathfrak{g})$ is of one block.*

By Propositions 4.2, 4.3 and 4.5, we have our main theorem as follows.

Theorem 4.6. *Let \mathbb{K} be an algebraically closed field with characteristics $p > 3$, and $\mathfrak{g} = X(m, n, 1)$, $X \in \{W, S, H\}$, be a graded restricted Lie superalgebra of Cartan type over \mathbb{K} except if $X = H$ with $n = 4$. Then $u(\mathfrak{g})$ is of one block.*

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