

## On Lie Algebras Consisting of Locally Nilpotent Derivations

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**Abstract.** Let  $K$  be an algebraically closed field of characteristic zero and  $A$  an integral  $K$ -domain. The Lie algebra  $\text{Der}_K(A)$  of all  $K$ -derivations of  $A$  contains the set  $\text{LND}(A)$  of all locally nilpotent derivations. The structure of  $\text{LND}(A)$  is of great interest, and the question about properties of Lie algebras contained in  $\text{LND}(A)$  is still open. An answer to it in the finite dimensional case is given. It is proved that any subalgebra of finite dimension (over  $K$ ) of  $\text{Der}_K(A)$  consisting of locally nilpotent derivations is nilpotent. In the case  $A = K[x, y]$ , it is also proved that any subalgebra of  $\text{Der}_K(A)$  consisting of locally nilpotent derivations is conjugate by an automorphism of  $K[x, y]$  with a subalgebra of the triangular Lie algebra.

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### 1. Introduction

Let  $\mathbb{K}$  be an algebraically closed field of characteristic zero and  $A$  an associative commutative  $\mathbb{K}$ -algebra that is a domain. A derivation  $D : A \rightarrow A$  is called locally nilpotent if for any element  $a \in A$  there exists a positive integer  $n = n(a)$  such that  $D^n(a) = 0$ . The study of locally nilpotent derivations is an important problem in differential algebra because the exponents of such derivations are automorphisms of the associative algebra  $A$  and they carry information about  $A$ . Many papers and a few monographs are devoted to locally nilpotent derivations (see, for example, [10], [4], [7], [9], [3], [6], etc). One of unsolved problems is to describe all Lie algebras contained in the set  $\text{LND}(A)$  of all locally nilpotent derivations on the algebra  $A$  (see Problem 11.6 in [4]). In this paper, it is proved that every finite dimensional (over  $\mathbb{K}$ ) subalgebra of the Lie algebra  $\text{Der}_{\mathbb{K}}(A)$  consisting of locally nilpotent derivations is nilpotent (Theorem 2.5). In the case  $A = \mathbb{K}[x, y]$ , the polynomial ring in two variables, it is proved that every subalgebra  $L \subseteq \text{LND}(A)$  of  $\text{Der}_{\mathbb{K}}(A)$  is conjugated with a subalgebra of the triangular Lie algebra  $u_2(\mathbb{K})$  by an automorphism of  $\mathbb{K}[x, y]$ . By Rentschler's Theorem [10], the structure of

$\text{LND}(\mathbb{K}[x, y])$  is as follows:

$$\text{LND}(\mathbb{K}[x, y]) = \bigcup_{\theta \in \text{Aut}(\mathbb{K}[x, y])} \theta u_2(\mathbb{K}) \theta^{-1}.$$

It is proved that every Lie algebra lying in  $\text{LND}(\mathbb{K}[x, y])$  is contained entirely in at least one of subalgebras conjugated with  $u_2(\mathbb{K})$  (Theorem 3.11).

We use standard notations. The ground field  $\mathbb{K}$  is algebraically closed of characteristic zero. The quotient field of the integral domain  $A$  under consideration is denoted by  $R$ . The set of all locally nilpotent derivations of  $A$  is denoted by  $\text{LND}(A)$ . Any derivation  $D$  of  $A$  can be uniquely extended to a derivation of  $R$  by the rule:  $D(a/b) = (D(a)b - aD(b))/b^2$ . If  $F$  is a subfield of the field  $R$  and  $r_1, \dots, r_k \in R$ , then the set of all linear combinations of these elements with coefficients in  $F$  is denoted by  $F\langle r_1, \dots, r_k \rangle$ ; it is a subspace of the  $F$ -space  $R$ . The set  $\text{Der}_{\mathbb{K}}(A)$  of all  $\mathbb{K}$ -derivations of  $A$  is a Lie algebra over  $\mathbb{K}$  relative to the Lie bracket:  $[D_1, D_2] = D_1D_2 - D_2D_1$  for  $D_1, D_2 \in \text{Der}_{\mathbb{K}}(A)$ . The Lie algebra  $\text{Der}_{\mathbb{K}}(A)$  is also an  $A$ -module in a natural way: given  $a \in A, D \in \text{Der}_{\mathbb{K}}(A)$ , the derivation  $aD$  sends any element  $x \in A$  to  $a \cdot D(x)$ . In case  $A = \mathbb{K}[x, y]$ , we denote the Lie algebra  $\text{Der}_{\mathbb{K}}(\mathbb{K}[x, y])$  by  $W_2(\mathbb{K})$ , any element  $D \in W_2(\mathbb{K})$  is of the form  $D = f(x, y) \frac{\partial}{\partial x} + g(x, y) \frac{\partial}{\partial y}$  for some  $f, g \in \mathbb{K}[x, y]$ .

The triangular subalgebra  $u_2(\mathbb{K})$  of the Lie algebra  $W_2(\mathbb{K})$  consists of all the derivations on the ring  $\mathbb{K}[x, y]$  of the form  $D = \alpha \frac{\partial}{\partial x} + \beta(x) \frac{\partial}{\partial y}$ , where  $\alpha \in \mathbb{K}, \beta(x) \in \mathbb{K}[x]$  (about properties of triangular Lie algebras see [1]). Recall that a subalgebra  $B$  of an associative commutative algebra  $A$  is factorially closed in  $A$  if the relations  $a_1a_2 \in B, a_1 \neq 0, a_2 \neq 0$ , imply  $a_1 \in B$  and  $a_2 \in B$ . A polynomial  $a = a(x, y) \in \mathbb{K}[x, y]$  is called coordinate if there exists a polynomial  $b = b(x, y) \in \mathbb{K}[x, y]$  such that  $\mathbb{K}[x, y] = \mathbb{K}[a, b]$ . Then the polynomials  $a$  and  $b$  form a coordinate pair  $(a, b)$ . If  $f \in \mathbb{K}[x, y]$ , then  $f$  induces the Jacobian derivation  $D_f$  of the ring  $\mathbb{K}[x, y]$  by the rule:  $D_f(h) = \det J(f, h)$  for any  $h \in \mathbb{K}[x, y]$ , where  $\det J(f, h)$  is the Jacobian determinant of the polynomials  $f$  and  $h$ . For any derivation  $D = f \frac{\partial}{\partial x} + g \frac{\partial}{\partial y} \in \text{Der}(\mathbb{K}[x, y])$  we denote by  $\text{div } D$  the divergence of  $D$ :  $\text{div } D = \frac{\partial f}{\partial x} + \frac{\partial g}{\partial y}$ . The Lie algebra  $W_2(\mathbb{K})$  is a free module over the ring  $\mathbb{K}[x, y]$  (of rank 2), so for any subalgebra  $L \subseteq W_2(\mathbb{K})$  one can define rank of  $L$  over the ring  $\mathbb{K}[x, y]$ .

## 2. Finite dimensional Lie algebras consisting of locally nilpotent derivations

Throughout this section,  $A$  denotes an integral  $\mathbb{K}$ -domain and  $R$  the field of fractions for the algebra  $A$ . Recall that a Lie algebra  $L$  over a field  $\mathbb{K}$  is locally finite (or locally finite dimensional) if every its finitely generated subalgebra is of finite dimension over  $\mathbb{K}$ . A Lie algebra  $L$  is locally nilpotent if every its finitely generated subalgebra is nilpotent. We need also some properties of locally nilpotent derivations, they are pointed out in the next two lemmas.

**Lemma 2.1.** *Let  $D$  be a locally nilpotent derivation of the algebra  $A$  and  $\delta$  its extension on the fraction field  $R$  of  $A$ . Then:*

- (a) [4, Principle 1]  $\text{Ker } D$  is a factorially closed subring of  $A$ .
- (b) [4, Corollary 1.23]  $\text{Ker } \delta = \text{Frac}(\text{Ker } D)$ .
- (c) [4, Principle 11(e)] Transcendence degree of the field  $R$  over the subfield  $\text{Ker } \delta$  equals 1.
- (d) [4, Corollary 1.20] If  $D(a) = ab$  for some  $a, b \in A$ , then  $D(a) = 0$ .

**Lemma 2.2.** [4, Principle 12] Let  $D_1, D_2$  be locally nilpotent derivations of the algebra  $A$  such that  $\text{Ker } D_1 = \text{Ker } D_2 = B$ . Then there exist nonzero elements  $a, b \in B$  such that  $aD_1 = bD_2$ .

**Lemma 2.3.** (see, for example, [5], p.54). Let  $L$  be a finite dimensional Lie algebra over an algebraically closed field  $\mathbb{K}$ . Then the algebra  $L$  is nilpotent if and only if every two-dimensional subalgebra of  $L$  is abelian.

**Lemma 2.4.** Let  $D_1, D_2 \in \text{Der}_{\mathbb{K}} A$  and  $a, b \in R$ . Then

- (a)  $[aD_1, bD_2] = ab[D_1, D_2] + aD_1(b)D_2 - bD_2(a)D_1$ .
- (b) If  $a, b \in \text{Ker } D_1 \cap \text{Ker } D_2$ , then  $[aD_1, bD_2] = ab[D_1, D_2]$ .

**Proof.** Straightforward check. ■

**Theorem 2.5.** Let  $\mathbb{K}$  be an algebraically closed field of characteristic zero and  $A$  an integral  $\mathbb{K}$ -domain. If  $L$  is a finite dimensional (over  $\mathbb{K}$ ) subalgebra of the Lie algebra  $\text{Der}_{\mathbb{K}} A$  and every element of  $L$  is a locally nilpotent derivation on  $A$ , then the Lie algebra  $L$  is nilpotent.

**Proof.** Let  $M$  be any two-dimensional subalgebra of the Lie algebra  $L$ . Our aim is to prove that  $M$  is abelian. Suppose this is not the case and choose a basis  $\{D_1, D_2\}$  of the non-abelian subalgebra  $M$  such that  $[D_1, D_2] = D_2$ . Let us show that  $\text{Ker } D_1 \subseteq \text{Ker } D_2$ . Take any element  $f \in \text{Ker } D_1$ . Then

$$D_2(f) = [D_1, D_2](f) = (D_1D_2 - D_2D_1)(f) = D_1(D_2(f)) - D_2(D_1(f)) = D_1(D_2(f)),$$

because  $D_2(D_1(f)) = D_2(0) = 0$ . Since  $D_1 \in \text{LND}(A)$  and  $D_1(D_2(f)) = D_2(f)$ , then  $D_2(f) = 0$  (by Lemma 2.1(d)) and  $f \in \text{Ker } D_2$ . But then  $\text{Ker } D_1 \subseteq \text{Ker } D_2$ , because the element  $f \in \text{Ker } D_1$  is arbitrarily chosen.

Let  $\delta_1, \delta_2$  be extensions of derivations  $D_1$  and  $D_2$  respectively on the fraction field  $R = \text{Frac}(A)$ , and let  $R_1, R_2$  be subfields of constants for  $\delta_1$  and  $\delta_2$  respectively in  $R$ . The nonzero derivations  $D_1, D_2$  are locally nilpotent on  $A$ , so by Lemma 2.1(b) we get equalities  $\text{Frac}(\text{Ker } D_1) = R_1$  and  $\text{Frac}(\text{Ker } D_2) = R_2$ . The inclusion  $\text{Ker } D_1 \subseteq \text{Ker } D_2$  implies  $R_1 \subseteq R_2$ . Note that by Lemma 2.1(a,c) the subfields  $R_1, R_2$  are algebraically closed in the field  $R$  and  $\text{tr.deg}_{R_1} R = \text{tr.deg}_{R_2} R = 1$ . Then one can easily show that  $R_1 = R_2$  and therefore  $\text{Ker } D_1 = \text{Ker } D_2$ . Denote  $B = \text{Ker } D_1 = \text{Ker } D_2$ . Using Lemma 2.2, we see that there exist

nonzero elements  $a, b \in B$  such that  $aD_1 = bD_2$ . But then we get

$$[aD_1, bD_2] = ab[D_1, D_2] = abD_2 = 0$$

by Lemma 2.4(b). Since  $D_2 \neq 0$  we have  $ab = 0$ . This is impossible, because  $A$  is an integral domain. This contradiction shows that every two-dimensional subalgebra of the finite dimensional Lie algebra  $L$  is abelian. Therefore,  $L$  is nilpotent by Lemma 2.3. ■

**Corollary 2.6.** *Let  $L$  be a locally finite subalgebra of the Lie algebra  $\text{Der}_{\mathbb{K}}(A)$ . If  $L \subseteq \text{LND}(A)$ , then the Lie algebra  $L$  is locally nilpotent.*

### 3. On subalgebras of $W_2(\mathbb{K})$ consisting of locally nilpotent derivations.

In this section,  $A = \mathbb{K}[x, y]$  is the polynomial ring in two variables over the field  $\mathbb{K}$  and  $R = \mathbb{K}(x, y)$ , the field of rational functions.  $W_2(\mathbb{K})$  denotes the Lie algebra  $\text{Der}_{\mathbb{K}} A$  of all  $\mathbb{K}$ -derivations of  $A$ .

**Lemma 3.1.** *([4], Corollary 4.7) Let  $D$  be a derivation of the ring  $A = \mathbb{K}[x, y]$ . Then  $D$  is locally nilpotent if and only if  $D = D_{f(a)} = f'(a)D_a$  for a coordinate polynomial  $a \in A$  and some  $f \in \mathbb{K}[t]$ .*

**Lemma 3.2.** *Let  $D_f, D_g$  be Jacobian derivations of the ring  $A = \mathbb{K}[x, y]$ . Then  $[D_f, D_g] = D_{[f, g]}$ , where  $[f, g] = \det J(f, g)$  is the Jacobian determinant of polynomials  $f, g \in A$ .*

**Proof.** Straightforward check. ■

**Corollary 3.3.** *Let  $L$  be a subalgebra of the Lie algebra  $W_2(\mathbb{K})$ . If  $L \subseteq \text{LND}(A)$ , then  $L$  satisfies the Engel condition, i.e. for any  $D_1, D_2 \in L$  there exists an integer  $k \geq 1$  (depending on  $D_1, D_2$ ) such that  $[D_1, \underbrace{D_2, \dots, D_2}_k] = 0$ .*

**Proof.** Take arbitrary  $D_1, D_2 \in L$ . Since  $D_1, D_2 \in \text{LND}(A)$ , Lemma 3.1 implies  $D_1 = D_f$  and  $D_2 = D_g$  for some  $f, g \in A$ . It follows from Lemma 3.2 that  $[D_1, D_2] = D_{[f, g]} = -D_g(f)$ , where  $[f, g] = \det J(f, g)$  is the Jacobian determinant of  $f, g \in A$ . Further,

$$[D_1, \underbrace{D_2, \dots, D_2}_k] = D_h,$$

where  $h = [\dots, \underbrace{[f, g], g], \dots, g] = [f, \underbrace{g, g, \dots, g}_k]$ . It is easy to check that

$$[f, \underbrace{g, g, \dots, g}_k] = (-1)^k D_g^k(f).$$

Since  $D_g$  is locally nilpotent, we get  $D_g^k(f) = 0$  for a sufficiently large  $k$ . The latter means that  $[D_1, \underbrace{D_2, \dots, D_2}_k] = 0$ . ■

Recall that the Lie algebra  $W_2(\mathbb{K}) = \text{Der}_{\mathbb{K}}(\mathbb{K}[x, y])$  being a vector space over the field  $\mathbb{K}$  is also a  $\mathbb{K}[x, y]$ -module. So we may say about linear dependence over  $\mathbb{K}[x, y]$  for elements of  $W_2(\mathbb{K})$  and about rank  $\text{rk}_A L$  over  $A = \mathbb{K}[x, y]$  for any subalgebra  $L \subseteq W_2(\mathbb{K})$  (we consider  $L$  as a set of elements of the  $A$ -module  $W_2(\mathbb{K})$ ).

**Lemma 3.4.** *Let  $D_1, D_2$  be locally nilpotent derivations of the ring  $A = \mathbb{K}[x, y]$ .*

- (1) *If  $D_1$  and  $D_2$  are linearly dependent over  $A$ , then there exists a coordinate polynomial  $a \in A$  such that  $D_1 = D_{f(a)}, D_2 = D_{g(a)}$  for some  $f, g \in \mathbb{K}[t]$ .*
- (2) *If  $D_1$  and  $D_2$  are linearly independent over  $A$  and  $[D_1, D_2] = 0$ , then there exists a coordinate pair  $(a, c)$  such that  $D_1 = D_a, D_2 = D_c$ .*

**Proof.** Since  $D_1 \in \text{LND}(A)$ , Lemma 3.1 implies that  $D_1 = D_{f(a)}$  for a coordinate pair  $(a, b)$  and some  $f \in \mathbb{K}[t]$ . Similarly, since  $D_2 \in \text{LND}(A)$ , there exists a coordinate pair  $(c, d)$  such that  $D_2 = D_{g(c)}$  for some  $g \in \mathbb{K}[t]$ .

- (1) Let  $r_1 D_1 + r_2 D_2 = 0$  for some  $r_1, r_2 \in A$ , and at least one of  $r_1, r_2$  is nonzero. Without loss of generality, one can assume that  $D_1 \neq 0$  and  $D_2 \neq 0$ . Then it obviously holds the equality  $\text{Ker } D_1 = \text{Ker } D_2$ . Since  $\text{Ker } D_1 = \mathbb{K}[a]$  and  $\text{Ker } D_2 = \mathbb{K}[c]$ , we get  $\mathbb{K}[a] = \mathbb{K}[c]$ . Then  $c = \varphi(a)$  for some  $\varphi \in \mathbb{K}[t]$ , and  $D_2 = D_{g(c)} = D_{g(\varphi(a))} = D_{g_1(a)}$ .
- (2) Let  $D_1, D_2 \in \text{LND}(A)$  be linearly independent over  $A$  and  $[D_1, D_2] = 0$ . By Lemma 3.2, we have

$$[D_1, D_2] = [D_{f(a)}, D_{g(c)}] = D_{[f(a), g(c)]} = 0,$$

where  $[f(a), g(c)] = \det J(f(a), g(c))$ . It is easy to check that  $[f(a), g(c)] = f'(a)g'(c)[a, c]$ . Then we get

$$D_{[f(a), g(c)]} = D_{f'(a)g'(c)[a, c]} = 0,$$

and hence  $f'(a)g'(c)[a, c] \in \mathbb{K}$ .

Let us show that  $f'(a)g'(c)[a, c] \in \mathbb{K}^*$ . Indeed, if  $f'(a)g'(c)[a, c] = 0$  then  $[a, c] = 0$ , because  $f'(a) \neq 0, g'(c) \neq 0$  (note that  $\deg f \geq 1$  and  $\deg g \geq 1$ ). The equality  $[a, c] = 0$  implies that  $D_a$  and  $D_c$  are linearly dependent over  $A$  (see [9, Corollary 7.2.10]). This contradicts our assumption.

Therefore,  $f'(a)g'(c)[a, c] \in \mathbb{K}^*$  and especially  $[a, c] \in \mathbb{K}^*$ . Since  $(a, b)$  is a coordinate pair, there exists a polynomial  $p(u, v) \in \mathbb{K}[u, v]$  such that  $c = p(a, b)$ . It follows

$$[a, c] = [a, p(a, b)] = \frac{\partial}{\partial b}(p(a, b))[a, b] \in \mathbb{K}^*.$$

Thus,  $\frac{\partial}{\partial b}(p(a, b)) \in \mathbb{K}^*$ . This implies that  $c = p(a, b) = \mu b + q(a)$  for some  $\mu \in \mathbb{K}^*$  and  $q \in \mathbb{K}[t]$ . Since the polynomials  $a$  and  $\mu b + q(a)$  form a coordinate pair in  $A = \mathbb{K}[x, y]$ , we get that  $(a, c)$  is also a coordinate pair in  $A$ . Furthermore, from the relation  $f'(a)g'(c)[a, c] \in \mathbb{K}^*$  we get  $\deg f = \deg g = 1$ . Write  $f(t) = \alpha t + \beta$ ,

$g(t) = \gamma t + \delta$  for  $\alpha, \beta, \gamma, \delta \in \mathbb{K}$ ,  $\alpha, \gamma \neq 0$ . Then  $D_1 = D_{\alpha a + \beta}$  and  $D_2 = D_{\gamma b + \delta}$ . Clearly,  $\alpha a + \beta$  and  $\gamma b + \delta$  form a coordinate pair in  $A$ . Without loss of generality, we may denote  $\alpha a + \beta$  by  $a$ ,  $\gamma b + \delta$  by  $c$ , and get  $D_1 = D_a$ ,  $D_2 = D_c$ , where  $(a, c)$  is a coordinate pair in  $A$ . ■

**Lemma 3.5.** *Let  $L$  be an abelian subalgebra of the Lie algebra  $W_2(\mathbb{K})$  (not necessarily finite dimensional over  $\mathbb{K}$ ). If  $L \subseteq \text{LND}(A)$ , then  $L$  is one of the following algebras:*

- (1)  $L = \mathbb{K}\langle\{f_i(a)D_a\}_{i \in I}\rangle$ , where  $\{f_i(t) \in \mathbb{K}[t], i \in I\}$  is a finite or a countable infinite set of polynomials that are linearly independent over  $\mathbb{K}$ , and  $a \in A$  is a coordinate polynomial.
- (2)  $L = \mathbb{K}\langle D_a, D_b \rangle$ , where  $(a, b)$  is a coordinate pair in  $A$ .

**Proof.** Let  $\text{rk}_A L = 1$  and  $D \in L$  be nonzero. By Lemma 3.1, there exists a coordinate polynomial  $a \in A$  such that  $D = D_{f(a)} = f'(a)D_a$  for some  $f \in \mathbb{K}[t]$ . Take an arbitrary  $D_1 \in L$ . Then  $D_1$  and  $D$  are linearly dependent over  $A$ . By Lemma 3.4(1), there exists  $g \in \mathbb{K}[t]$  such that  $D_1 = D_{g(a)} = g'(a)D_a$ . Thus,  $L \subseteq \mathbb{K}[a]D_a$ . Since  $\mathbb{K}[a]D_a$  has a countable basis over  $\mathbb{K}$ , we can find a finite or a countable infinite basis  $\{f_i(a)D_a\}_{i \in I}$  of the Lie algebra  $L$ . We see that  $L$  is of type 1).

Now let  $\text{rk}_A L = 2$ . Take arbitrary  $D_1, D_2 \in L$  that are linearly independent over  $A$ . Since the Lie algebra  $L$  is abelian,  $[D_1, D_2] = 0$ . By Lemma 3.4(2), there exists a coordinate pair  $(a, b) \in A$  such that  $D_1 = D_a$ ,  $D_2 = D_b$ . Then for every  $D = fD_a + gD_b \in L$ , where  $f, g \in A$ , we have

$$0 = [D_a, D] = D_a(f)D_a + D_a(g)D_b,$$

$$0 = [D_b, D] = D_b(f)D_a + D_b(g)D_b.$$

Since  $D_a$  and  $D_b$  are linearly independent over  $A$ , we have  $D_a(f) = D_a(g) = 0$  and  $D_b(f) = D_b(g) = 0$ . These equalities imply that  $f, g \in \text{Ker } D_a \cap \text{Ker } D_b = \mathbb{K}$ . Therefore,  $L = \mathbb{K}\langle D_a, D_b \rangle$ . ■

**Lemma 3.6.** *Let  $L$  be a subalgebra of the Lie algebra  $W_2(\mathbb{K})$  with  $\text{rk}_A L = 2$ . If  $L \subseteq \text{LND}(A)$ , then there exist linearly independent (over  $A$ ) elements  $D_1, D_2 \in L$  such that  $[D_1, D_2] = 0$ . Moreover, there exists a coordinate pair  $(a, b) \in A$  such that  $D_1 = D_a, D_2 = D_b$ .*

**Proof.** Take any elements  $D_1, D_2 \in L$  that are linearly independent over  $A$ . Consider inductively defined elements  $D_{k+1} = [D_k, D_1] \in L$  for  $k \geq 2$ . By Corollary 3.3, there exists the least number  $s$ ,  $s \geq 2$ , such that  $D_{s+1} = 0$ . If  $D_1$  and  $D_s$  are linearly independent over  $A$ , then we denote  $D_s$  by  $D_2$  and all is done. Assume that  $D_1, D_s$  are linearly dependent over  $A$ . By Lemma 3.4(1), there exists a coordinate polynomial  $a \in A$  such that  $D_1 = D_{f(a)}$ ,  $D_s = D_{h(a)}$  for some  $f, h \in \mathbb{K}[t]$ . Since  $D_{s-1} \in \text{LND}(A)$ , Lemma 3.1 implies that there exists a coordinate polynomial  $c \in A$  such that  $D_{s-1} = D_{g(c)}$  for some  $g \in \mathbb{K}[t]$ . Note that  $D_1$  and  $D_{s-1}$  are linearly independent over  $A$ . Indeed, in the opposite

case  $D_1 = D_{f_1(d)}$  and  $D_{s-1} = D_{g_1(d)}$  for some coordinate polynomial  $d \in A$  and  $f_1, g_1 \in \mathbb{K}[t]$  (see Lemma 3.4). By Lemma 3.2,

$$[D_{g_1(d)}, D_{f_1(d)}] = D_{g_1'(d)f_1'(d)[d,d]} = 0,$$

and thus  $[D_{s-1}, D_1] = D_s = 0$ . This contradicts our choice of  $D_s$ .

It follows from linear independence of  $D_1$  and  $D_{s-1}$  that  $\det J(a, c) = [a, c] \neq 0$  (see, for example, [9, Corollary 7.2.10]). Further, we have

$$D_s = [D_{s-1}, D_1] = [D_{g(c)}, D_{f(a)}] = D_{[g(c), f(a)]} = D_{g'(c)f'(a)[c,a]},$$

and since  $D_s = D_{h(a)}$  we get

$$g'(c)f'(a)[c, a] = h(a) + \gamma. \tag{1}$$

for some  $\gamma \in \mathbb{K}$ . The field  $\mathbb{K}$  is algebraically closed, so we have

$$h(a) + \gamma = \mu(a - \alpha_1)(a - \alpha_2) \dots (a - \alpha_k),$$

where  $\mu \in \mathbb{K}^*$  and  $\alpha_1, \alpha_2, \dots, \alpha_n$  are all the roots of the polynomial  $h(a) + \gamma$ . Rewrite the equality (1) in the form

$$g'(c)f'(a)[c, a] = \mu(a - \alpha_1)(a - \alpha_2) \dots (a - \alpha_k). \tag{2}$$

The polynomial  $a$  is coordinate and thus all the polynomials  $a - \alpha_i, i = 1, 2, \dots, k$  are irreducible.

Let us show that  $[c, a] \in \mathbb{K}^*$ . It was mentioned above that  $[c, a] \neq 0$ . Assume that  $[c, a] \in \mathbb{K}[x, y] \setminus \mathbb{K}$ . It follows from (2) that  $[c, a]$  is divided by some  $a - \alpha_i$ , assume by  $a - \alpha_1$ . Then  $[c, a] = D_c(a) = (a - \alpha_1)u(x, y)$  for some  $u(x, y) \in \mathbb{K}[x, y]$ . It is obvious that  $D_c(a - \alpha_1) = (a - \alpha_1)u(x, y)$  and hence  $D_c(a - \alpha_1) = 0 = [c, a]$  (see, Lemma 2.1(d)). Contradiction. Thus  $[c, a] \in \mathbb{K}^*$ . It follows from this relation that  $[D_c, D_a] = D_{[c,a]} = 0$  and  $D_a$  and  $D_c$  are linearly independent over  $A$ . By Lemma 3.4, we see that  $(a, c)$  is a coordinate pair for  $A = \mathbb{K}[x, y]$ .

We now find an element  $\tilde{D}$  such that  $D_{s-1}$  and  $\tilde{D}$  are linearly independent over  $A$  and  $[\tilde{D}, D_{s-1}] = 0$ . It follows from the equality (2) that  $g'(c)$  is a nonzero constant, because  $\mu(a - \alpha_1)(a - \alpha_2) \dots (a - \alpha_k)$  is divided by  $g'(c)$  and the polynomials  $a, c$  are algebraically independent over  $\mathbb{K}$ . Thus  $g(c) = \beta c + \sigma$  for some  $\beta \in \mathbb{K}^*, \sigma \in \mathbb{K}$  and  $D_{s-1} = D_{g(c)} = D_{\beta c + \sigma}$ . Without loss of generality, we may assume that  $D_{s-1} = D_c$  and  $[a, c] = 1$ . Denote  $\deg f(t)$  by  $m$  (recall that  $D_1 = D_{f(a)}$ ). Then put

$$\tilde{D} = [D_1, \underbrace{D_{s-1}, \dots, D_{s-1}}_{m-1}] = D_{f^{(m-1)}(a)[a,c]} \in L,$$

where  $f^{(m-1)}(t)$  is the  $(m - 1)$ -th derivative of  $f(t)$ . Since  $\deg f(t) = m$ , we get  $\tilde{D} = D_{\delta a + \tau}$  for some  $\delta \in \mathbb{K}^*, \tau \in \mathbb{K}$  and may assume that  $\tilde{D} = D_a$ . Therefore,  $D_{s-1}$  and  $\tilde{D}$  are linearly independent over  $A$ . Moreover,  $[\tilde{D}, D_{s-1}] = D_\delta = 0$ . Thus by the denoting  $D_1 = \tilde{D}$  and  $D_2 = D_{s-1}$ , we get desired derivations, and  $(a, c)$  is the desired coordinate pair in  $A$ . ■

**Lemma 3.7.** *Let  $L$  be a subalgebra of the Lie algebra  $W_2(\mathbb{K})$  with  $\text{rk}_A L = 2$ . If  $L \subseteq \text{LND}(A)$  and  $\dim_{\mathbb{K}} L \geq 3$ , then there exists an automorphism  $\theta$  of the ring  $A$  such that  $\theta L \theta^{-1}$  contains the elements  $\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial y}$ .*

**Proof.** The Lie algebra  $L$  contains elements  $D_a, D_b$  for a coordinate pair  $(a, b)$ , by Lemma 3.6. These elements are linearly independent over  $A$  and  $[D_a, D_b] = 0$ . Define an automorphism  $\varphi \in \text{Aut}(A)$  by the rule:  $\varphi(a) = x, \varphi(b) = y$ . Then  $\varphi$  induces an automorphism  $\tilde{\varphi}$  of the Lie algebra  $W_2(\mathbb{K})$ , namely:  $\tilde{\varphi}(D) = \varphi D \varphi^{-1}$  for any  $D \in W_2(\mathbb{K})$  (see, for example, [1]). One can easily see that  $\varphi D_a \varphi^{-1} = \frac{\partial}{\partial y}$  and  $\varphi D_b \varphi^{-1} = -\frac{\partial}{\partial x}$ . Denote  $L_1 = \varphi L \varphi^{-1}$ . It is a subalgebra of the Lie algebra  $W_2(\mathbb{K})$ . The Lie algebra  $L_1$  consists of locally nilpotent derivations of the ring  $A$  and  $\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \in L_1$ . Let us show that  $L_1$  contains an element  $D$  of the form  $D = p(x, y) \frac{\partial}{\partial x} + q(x, y) \frac{\partial}{\partial y}$  with  $\deg p \leq 1, \deg q \leq 1$  and at least one of these polynomials is nonconstant. Take any element  $D_1 \in L_1 \setminus \mathbb{K} \langle \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \rangle$  (such an element does exist because  $\dim_{\mathbb{K}} L \geq 3$ ). Let  $D_1 = u(x, y) \frac{\partial}{\partial x} + v(x, y) \frac{\partial}{\partial y}$ , where  $u(x, y), v(x, y) \in \mathbb{K}[x, y]$ . Without loss of generality we may assume that  $\deg u \geq \deg v$  and  $\deg u \geq 1$ . Using the following relations

$$\left[ \frac{\partial}{\partial x}, D_1 \right] = u'_x \frac{\partial}{\partial x} + v'_x \frac{\partial}{\partial y}, \quad \left[ \frac{\partial}{\partial y}, D_1 \right] = u'_y \frac{\partial}{\partial x} + v'_y \frac{\partial}{\partial y},$$

one can easily show that for some  $s, k, s \geq k$  we have

$$\frac{\partial^s u}{\partial x^k \partial y^{s-k}} \frac{\partial}{\partial x} + \frac{\partial^s v}{\partial x^k \partial y^{s-k}} \frac{\partial}{\partial y} \in L_1,$$

where the polynomial  $\frac{\partial^s u}{\partial x^k \partial y^{s-k}}$  is of degree 1 and  $\frac{\partial^s v}{\partial x^k \partial y^{s-k}}$  is of degree  $\leq 1$ . Thus, one may assume that  $L_1$  contains an element  $D = p(x, y) \frac{\partial}{\partial x} + q(x, y) \frac{\partial}{\partial y}$ , where  $\deg p \leq 1, \deg q \leq 1$  and  $D \notin \mathbb{K} \langle \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \rangle$ . Since  $\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \in L_1$ , this element  $D$  can be chosen in the form

$$D = (\alpha_{11}x + \alpha_{12}y) \frac{\partial}{\partial x} + (\alpha_{21}x + \alpha_{22}y) \frac{\partial}{\partial y},$$

where  $\alpha_{11}, \alpha_{12}, \alpha_{21}, \alpha_{22} \in \mathbb{K}$  and at least one of them is nonzero.

The locally nilpotent derivation  $D$  has zero divergence (see, for example, [4, Corollary 3.16]), so we have  $\alpha_{11} + \alpha_{22} = \text{div} D = 0$ . Then

$$D = (\alpha_{11}x + \alpha_{12}y) \frac{\partial}{\partial x} + (\alpha_{21}x - \alpha_{11}y) \frac{\partial}{\partial y}$$

and hence  $D = D_h$  for the polynomial  $h = \alpha_{21}x^2/2 - \alpha_{11}xy - \alpha_{12}y^2/2$ . There exists (by Lemma 3.1) a coordinate polynomial  $c \in A$  such that  $h = g(c)$  for some polynomial  $g(t) \in \mathbb{K}[t]$ . If  $\deg g = 1$ , then  $h$  is a coordinate polynomial. This is impossible, because  $h$  is reducible as a homogeneous polynomial in two variables. Hence  $\deg g = 2$  and  $\deg c = 1$ . A straightforward check shows that there exist  $\mu, \nu \in \mathbb{K}$  such that  $h = (\mu x + \nu y)^2$ . Choose a polynomial  $\mu_1 x + \nu_1 y$  ( $\mu_1, \nu_1 \in \mathbb{K}$ ) in such a way that  $\mu \nu_1 - \mu_1 \nu = 1$ . The polynomials  $\mu x + \nu y, \mu_1 x + \nu_1 y$  form a coordinate pair in  $\mathbb{K}[x, y]$ , and thus there exists an automorphism  $\psi$  of the ring  $A$  defined by the rule:  $\psi(\mu x + \nu y) = x, \psi(\mu_1 x + \nu_1 y) = y$ . Denote  $L_2 = \psi L_1 \psi^{-1}$ .

One can easily check that

$$\psi D_{\mu x + \nu y} \psi^{-1} = \frac{\partial}{\partial y}, \quad \psi D_{\mu_1 x + \nu_1 y} \psi^{-1} = -\frac{\partial}{\partial x}.$$

Since  $D_{\mu x + \nu y}, D_{\mu_1 x + \nu_1 y} \in L_1$ , we obtain that  $\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \in L_2$ . It follows from the equality  $\psi D_h \psi^{-1} = 2x \frac{\partial}{\partial y}$  that  $x \frac{\partial}{\partial y} \in L_2$ . Thus  $L_2 = \theta L \theta^{-1}$  and  $\{\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial y}\} \subseteq L_2$ , where  $\theta = \psi \varphi \in \text{Aut} A$ . ■

**Lemma 3.8.** *Let  $L$  be a subalgebra of the Lie algebra  $W_2(\mathbb{K})$  such that  $L \subseteq \text{LND}(A)$ . If  $\{\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial y}\} \subseteq L$ , then every element  $D = p(x, y) \frac{\partial}{\partial x} + q(x, y) \frac{\partial}{\partial y}$  of  $L$  with  $\max\{\deg p, \deg q\} \leq 1$  belongs to the Lie subalgebra  $\mathbb{K}\langle \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial y} \rangle$ .*

**Proof.** Since  $\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \in L$ , one can assume, without loss of generality, that  $D = (\alpha_{11}x + \alpha_{12}y) \frac{\partial}{\partial x} + (\alpha_{21}x + \alpha_{22}y) \frac{\partial}{\partial y}$ , where  $\alpha_{11}, \alpha_{12}, \alpha_{21}, \alpha_{22} \in \mathbb{K}$  and at least one of them is nonzero. The derivation  $D$  is locally nilpotent, so we have  $\text{div} D = \alpha_{11} + \alpha_{22} = 0$  (see [4, Corollary 3.16]). Then

$$[x \frac{\partial}{\partial y}, D] = \alpha_{12}(x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}) - 2\alpha_{11}x \frac{\partial}{\partial y} \in L.$$

Since  $x \frac{\partial}{\partial y} \in L$  we get  $\alpha_{12}(x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}) \in L$ . But  $(x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}) \notin \text{LND}(A)$  and therefore  $\alpha_{12} = 0$ . Thus  $D = \alpha_{11}(x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}) + \alpha_{21}x \frac{\partial}{\partial y}$  and by the same reason, we have  $\alpha_{11} = 0$ . Hence  $D = \alpha_{21}x \frac{\partial}{\partial y}$  and  $D \in \mathbb{K}\langle \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial y} \rangle$ . ■

**Lemma 3.9.** *Let  $L$  be a subalgebra of the Lie algebra  $W_2(\mathbb{K})$  such that  $L \subseteq \text{LND}(A)$ . If  $\{\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial y}\} \subseteq L$ , then for any  $D = p(x, y) \frac{\partial}{\partial x} + q(x, y) \frac{\partial}{\partial y} \in L$  with  $\max\{\deg p, \deg q\} \geq 1$  the following is true:*

- 1)  $\deg p < \deg q$ .
- 2) the highest homogeneous component of the polynomial  $q = q(x, y)$  depends only on  $x$ .

**Proof.** Suppose there exists  $D = p(x, y) \frac{\partial}{\partial x} + q(x, y) \frac{\partial}{\partial y} \in L$  satisfying conditions of the lemma such that  $\deg p \geq \deg q$ . Denote  $m = \deg p$ , then  $m \geq 1$  by conditions of the lemma. Since

$$[\frac{\partial}{\partial x}, D] = p'_x \frac{\partial}{\partial x} + q'_x \frac{\partial}{\partial y} \in L \text{ and } [\frac{\partial}{\partial y}, D] = p'_y \frac{\partial}{\partial x} + q'_y \frac{\partial}{\partial y} \in L,$$

it is easy to show that for any nonnegative integers  $k, s, k \leq s$ , we have

$$\frac{\partial^s p}{\partial x^k \partial y^{s-k}} \frac{\partial}{\partial x} + \frac{\partial^s q}{\partial x^k \partial y^{s-k}} \frac{\partial}{\partial y} \in L.$$

Denote by  $p_m(x, y)$  the highest homogeneous component of the polynomial  $p(x, y)$ . Let  $p_m(x, y) = \sum_{i=0}^m \alpha_{i, m-i} x^i y^{m-i}$  for  $\alpha_{ij} \in \mathbb{K}$  and let, for example,  $\alpha_{k, m-k} \neq 0$ . First, let  $k > 0$ . Then as above

$$D_1 := \frac{\partial^{m-1} p}{\partial x^{k-1} \partial y^{m-k}} \frac{\partial}{\partial x} + \frac{\partial^{m-1} q}{\partial x^{k-1} \partial y^{m-k}} \frac{\partial}{\partial y} \in L,$$

and  $D_1$  is of the form  $D_1 = (\alpha_1x + \beta_1y + \gamma_1)\frac{\partial}{\partial x} + (\delta_1x + \mu_1y + \nu_1)\frac{\partial}{\partial y}$  with all the coefficients in  $\mathbb{K}$ . Since  $\alpha_{k,m-k} \neq 0$ , we have  $\alpha_1 \neq 0$ . The latter is impossible by Lemma 3.8. Further, if  $k = 0$ , i.e.  $\alpha_{0,m} \neq 0$ , we get

$$D_2 := \frac{\partial^{m-1}p}{\partial y^{m-1}} \frac{\partial}{\partial x} + \frac{\partial^{m-1}q}{\partial y^{m-1}} \frac{\partial}{\partial y} \in L,$$

$D_2$  is of the form  $D_2 = (\alpha_2x + \beta_2y + \gamma_2)\frac{\partial}{\partial x} + (\delta_2x + \mu_2y + \nu_2)\frac{\partial}{\partial y}$  with all the coefficients in  $\mathbb{K}$ , and  $\alpha_2 \neq 0$  because  $\alpha_{0,m} \neq 0$ . This is also impossible by the same reason. Therefore  $\deg p < \deg q$  for any derivation  $D = p(x, y)\frac{\partial}{\partial x} + q(x, y)\frac{\partial}{\partial y} \in L$ .

Denote  $n = \deg q(x, y)$  and let  $q_n(x, y)$  be the highest homogeneous component of the polynomial  $q$ . Suppose  $\deg_y q_n(x, y) = l \geq 1$ . Then as above

$$D_3 := \frac{\partial^{n-1}p}{\partial x^{n-l}\partial y^{l-1}} \frac{\partial}{\partial x} + \frac{\partial^{n-1}q}{\partial x^{n-l}\partial y^{l-1}} \frac{\partial}{\partial y} \in L$$

and  $D_3$  is of the form  $D_3 = \alpha\frac{\partial}{\partial x} + (\beta x + \gamma y + \delta)\frac{\partial}{\partial y}$  with  $\gamma \neq 0$  because  $\deg_y q_n = l$ . Since  $\{\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x\frac{\partial}{\partial y}\} \subseteq L$  we get  $y\frac{\partial}{\partial y} \in L$ . The latter is impossible because  $y\frac{\partial}{\partial y} \notin \text{LND}(A)$ . The obtained contradiction shows that  $\deg_y q_n(x, y) = 0$  and therefore  $q_n = q_n(x)$ . ■

**Lemma 3.10.** *Let  $L$  be a subalgebra of the Lie algebra  $W_2(\mathbb{K})$  such that  $L \subseteq \text{LND}(A)$ . If  $\{\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x\frac{\partial}{\partial y}\} \subseteq L$ , then every element  $D \in L$  is of the form  $D = \alpha\frac{\partial}{\partial x} + q(x)\frac{\partial}{\partial y}$ , where  $\alpha \in \mathbb{K}$  and  $q(t) \in \mathbb{K}[t]$ .*

**Proof.** Suppose  $L$  contains elements  $D$  of the form  $D = p(x, y)\frac{\partial}{\partial x} + q(x, y)\frac{\partial}{\partial y}$  with  $p(x, y) \in \mathbb{K}[x, y] \setminus \mathbb{K}$ . Choose among such elements an element  $D = p(x, y)\frac{\partial}{\partial x} + q(x, y)\frac{\partial}{\partial y}$  with a minimum  $\deg q$ . Let us show that  $p(x, y)$  is a polynomial only in  $x$ . Suppose to the contrary that  $p'_y(x, y) \neq 0$ . Then

$$D_1 := [x\frac{\partial}{\partial y}, D] = [x\frac{\partial}{\partial y}, p\frac{\partial}{\partial x} + q\frac{\partial}{\partial y}] = xp'_y\frac{\partial}{\partial x} + (-p + xq'_y)\frac{\partial}{\partial y}$$

and  $D_1 \in L$ . Since  $xp'_y \neq \text{const}$ , we have that  $\deg(-p + xq'_y) \geq \deg q$  by the choice of the polynomial  $q$ . By Lemma 3.9(1),  $\deg p < \deg q$ , and by Lemma 3.9(2),  $\deg q'_y \leq \deg q - 2$ . Thus  $\deg(-p + xq'_y) < \deg q$ . This contradicts our choice of  $D$  and therefore  $p'_y = 0$ , i.e.  $p = p(x)$ .

Further

$$[\frac{\partial}{\partial x}, D] = p'_x\frac{\partial}{\partial x} + q'_x(x, y)\frac{\partial}{\partial y} \in L$$

and  $\deg q'_x < \deg q$ . By the choice of  $D$ , we get  $p'_x \in \mathbb{K}$ . Since  $p(x) \in \mathbb{K}[x, y] \setminus \mathbb{K}$ , we have  $p(x) = \alpha x + \beta$  for some  $\alpha \in \mathbb{K}^*, \beta \in \mathbb{K}$ . Without loss of generality, one can assume that  $\beta = 0$  because  $\frac{\partial}{\partial x} \in L$ . We have  $D = \alpha x\frac{\partial}{\partial x} + q(x, y)\frac{\partial}{\partial y}$ . Then

$$[\frac{\partial}{\partial x}, D] = \alpha\frac{\partial}{\partial x} + q'_x(x, y)\frac{\partial}{\partial y} \in L$$

and hence  $q'_x(x, y) \frac{\partial}{\partial y} \in L$ . The inclusion  $L \subseteq \text{LND}(A)$  implies that every element of  $L$  has zero divergence and therefore  $q''_{xy}(x, y) = 0$ . One can easily show that  $q(x, y) = u(y) + r(x)$  for some univariate polynomials  $u(t), r(t) \in \mathbb{K}[t]$ . The latter means that  $D = \alpha x \frac{\partial}{\partial x} + (u(y) + r(x)) \frac{\partial}{\partial y}$  and since  $\text{div} D = \alpha + u'(y) = 0$ , we get  $u(y) = -\alpha y + \delta$  for some  $\delta \in \mathbb{K}$ . Since  $\frac{\partial}{\partial y} \in L$ , we may assume that  $\delta = 0$ . Thus  $D = \alpha(x \frac{\partial}{\partial x} - y \frac{\partial}{\partial y}) + r(x) \frac{\partial}{\partial y}$ . By Lemma 3.1,  $D = D_{-\alpha xy + s(x)}$ , where  $s(x)$  is a polynomial such that  $s'(x) = r(x)$ . By the same lemma, we have  $-\alpha xy + s(x) = f(a)$  for a coordinate polynomial  $a = a(x, y)$  and some  $f(t) \in \mathbb{K}[t]$ . Note that  $a'_y(x, y) \neq 0$  because in the other case  $\alpha = 0$  which contradicts the hypothesis on  $\alpha$  (recall  $\alpha \in \mathbb{K}^*$ ).

Since this fact, if  $\deg f(t) \geq 2$ , then we get  $\deg_y f(a) \geq 2$ . The latter is impossible because of equality  $f(a) = -\alpha xy + s(x)$ . Therefore,  $\deg f(t) = 1$  and  $f(a) = \alpha xy + s(x)$  is a coordinate polynomial of the ring  $\mathbb{K}[x, y]$ . Denote by  $s_0$  the constant term of the polynomial  $s(x)$ . We see that  $f(a) - s_0 = -\alpha xy - s(x) - s_0$  is also a coordinate polynomial. But the polynomial  $\alpha xy - s(x) - s_0$  divides by  $x$  and thus is reducible. The obtained contradiction shows that every element  $D \in L$  is of the form  $D = \alpha \frac{\partial}{\partial x} + q(x, y) \frac{\partial}{\partial y}$ ,  $\alpha \in \mathbb{K}$ . Since  $\text{div} D = q'_y(x, y) = 0$ , we get that  $q$  depends only on  $x$ . Therefore,  $D = \alpha \frac{\partial}{\partial x} + q(x) \frac{\partial}{\partial y}$ . ■

**Theorem 3.11.** *Let  $L$  be a subalgebra of the Lie algebra  $W_2(\mathbb{K})$ . If  $L$  consists of locally nilpotent derivations of the ring  $\mathbb{K}[x, y]$ , then there exists an automorphism  $\varphi : \mathbb{K}[x, y] \rightarrow \mathbb{K}[x, y]$  such that  $L_1 = \varphi L \varphi^{-1}$  is a subalgebra of the triangular Lie algebra  $u_2(\mathbb{K})$ .*

**Proof.** If  $L$  is abelian, then the statement of the theorem follows from Lemma 3.5. Let  $L$  be nonabelian. Then  $\text{rk}_A(L) = 2$  and  $\dim_{\mathbb{K}} L \geq 3$ . By Lemma 3.7, there exists an automorphism  $\varphi$  of the polynomial ring  $\mathbb{K}[x, y]$  such that the Lie algebra  $L_1 = \varphi L \varphi^{-1}$  contains the elements  $\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, x \frac{\partial}{\partial y}$ . By Lemma 3.10, every element  $D \in L_1$  is of the form  $D = \alpha \frac{\partial}{\partial x} + q(x) \frac{\partial}{\partial y}$ . The latter means that  $L_1 \subseteq u_2(\mathbb{K})$ . ■

**Corollary 3.12.** *Every maximal (by inclusion) subalgebra of the Lie algebra  $W_2(\mathbb{K})$  which is contained in the set  $\text{LND}(\mathbb{K}[x, y])$  is either  $u_2(\mathbb{K})$  or one of its conjugated by automorphisms of  $\mathbb{K}[x, y]$  subalgebras.*

**Remark 3.13.** By the known Miyanishi's Theorem [8] and a result of D. Daigle [2], every nonzero  $D \in \text{LND}(\mathbb{K}[x, y, z])$  is of the form  $D = hD_{(a,b)}$ , where  $a, b \in \mathbb{K}[x, y, z]$  such that  $\text{Ker } D = \mathbb{K}[a, b]$ ,  $D_{(a,b)}$  is a jacobian derivation and  $h \in \text{Ker } D = \mathbb{K}[a, b]$ . Unfortunately, this fact does not enable to prove that every Lie algebra  $L \subseteq \text{LND}(\mathbb{K}[x, y, z])$  is Engelien (as in the case  $\text{LND}(\mathbb{K}[x, y])$ , in Corollary 3.3).

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